

# OTHER AGENCY OVERSIGHT

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SECTION

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## INTRODUCTION

In March 2004, SIGIR formed the Iraq Inspectors General Council (IIGC) to provide a forum for discussion of oversight in Iraq and to enhance collaboration and cooperation among the inspectors general (IGs) of the agencies that oversee Iraq reconstruction funds. Representatives of member organizations meet quarterly to exchange details about current and planned audits, to identify opportunities for collaboration, and to minimize redundancies.

The most recent meeting was held on August 10, 2010, at the SIGIR office in Arlington, Virginia, with Special Inspector General Bowen chairing the meeting. These organizations attended:

- Air Force Audit Agency (AFAA)
- Defense Contract Audit Agency (DCAA)
- Department of Defense Office of Inspector General (DoD OIG)
- Department of State Office of Inspector General (DoS OIG)
- Government Accountability Office (GAO)
- SIGIR
- U.S. Agency for International Development Office of Inspector General (USAID OIG)

Each quarter, SIGIR requests updates from member organizations on their completed,

ongoing, and planned oversight activities. This section summarizes the audits and investigations reported to SIGIR this quarter by DoD OIG, DoS OIG, GAO, USAAA, and USAID OIG. For DCAA updates, see Appendix F.

In previous quarters, SIGIR has provided updates on the U.S. Department of the Treasury and the U.S. Department of Commerce, but these agencies have no current Iraq reconstruction oversight activities ongoing or planned for FY 2011. SIGIR no longer reports on these agencies in this section.

Section 842 of the National Defense Authorization Act for Fiscal Year 2008 (Public Law 101-181) requires that SIGIR, in consultation with other inspectors general, develop “a comprehensive plan for a series of audits” of “federal agency contracts, subcontracts, and task and delivery orders for the performance of security and reconstruction functions in Iraq.” Following the enactment of Section 842, SIGIR has worked closely with the DoD OIG Joint Planning Group-Southwest Asia, which has facilitated the production of the Comprehensive Oversight Plan for Southwest Asia. That document, compiled by the relevant IGs (including SIGIR), summarizes ongoing and near-term planned audits for Iraq and the region. In August 2010, the Group held its fourteenth meeting. ♦

## OTHER AGENCY AUDITS

This section updates the audits that IIGC member agencies reported to SIGIR:

- For recently completed oversight report activity, see Table 6.1.
- For ongoing oversight report activity of other U.S. agencies during this reporting period, see Table 6.2.
- For more information on other agency audits, including audit summaries, see Appendix F.
- For a complete historical list of audits and reviews on Iraq reconstruction by all entities, see Appendix G. ♦

TABLE 6.1  
RECENTLY COMPLETED OVERSIGHT REPORTS OF OTHER U.S. AGENCIES, AS OF 9/30/2010

AGENCY	REPORT NUMBER	REPORT DATE	REPORT TITLE
DoD	D-2010-091	9/30/2010	DoD Needs to Improve Management and Oversight of Operations at the Theater Retrograde–Camp Arifjan, Kuwait
DoD	D-2010-088	9/30/2010	Accountability and Disposition of Government Furnished Property in Conjunction with the Iraq Drawdown–Logistics Civil Augmentation Program
DoD	D-2010-087	9/27/2010	Weaknesses in Oversight of Naval Sea Systems Command Ship Maintenance Contract in Southwest Asia
DoD	D-2010-085	9/22/2010	Kuwait Contractors Working in Sensitive Positions Without Security Clearances or CACs
DoD	SPO-2010-006	9/17/2010	Evaluations of Efforts to Identify, Contact, and Provide Access to Care for Personnel Exposed to Sodium Dichromate at Qarmat Ali, Iraq, in 2003
DoD	D-2010-082	9/10/2010	Implementation of Predator/Sky Warrior Acquisition Decision Memorandum Dated May 19, 2008
DoD	D-2010-081	8/27/2010	Army Use of Time-and-Materials Contracts in Southwest Asia
DoD	SDIG-SWA-10-01	8/27/2010	Observations from Oversight Organizations Impacting Operations Iraqi Freedom and Enduring Freedom Beginning FY 2003 Through FY 2009
DoD	D-2010-077	8/23/2010	Air Force Military Personnel Entitlement Pay in Support of Contingency Operations
DoD	D-2010-075	8/17/2010	Foreign Allowances and Differentials Paid to DoD Civilian Employees Supporting Overseas Contingency Operations
DoD	D-2010-078	8/16/2010	Air Force Use of Time-and-Materials Contracts in Southwest Asia
DoD	D-2010-073	7/19/2010	Controls Over Unliquidated Obligations for Department of the Army Contracts
DoS	MERO-A-10-12	8/31/2010	Evaluation of the Logistics Civil Augmentation Program for Embassy Baghdad
DoS	AUD/CG-10-25	6/30/2010	Embassy Baghdad Internal Controls for Overtime Pay
GAO	GAO-10-304	9/13/2010	Iraqi–U.S. Cost-Sharing: Iraq Has a Cumulative Budget Surplus, Offering the Potential for Further Cost-Sharing
GAO	GAO-10-660	7/1/2010	Warfighter Support: Actions Needed to Improve the Joint Improvised Explosive Device Defeat Organization’s System of Internal Control
USAAA	A-2010-0204-ALL	9/28/2010	Controls Over Logistics Civil Augmentation Program (LOGCAP)–White Property Iraq
USAAA	A-2010-0197-ALL	9/23/2010	Life Support Contracts for U.S. Forces at Basra, Iraq, Joint Contracting Command, Iraq and Regional Contracting Command, Basra, Iraq
USAAA	A-2010-0198-ALL	9/21/2010	Contracting Operations, Joint Contracting Command–Iraq/Afghanistan, Salerno Regional Contracting Center, Afghanistan
USAAA	A-2010-0196-ALL	9/21/2010	Contracting Operations, Joint Contracting Command–Iraq/Afghanistan, Regional Contracting Center–Fenty (Jalalabad), Afghanistan

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# OTHER AGENCY OVERSIGHT

AGENCY	REPORT NUMBER	REPORT DATE	REPORT TITLE
USAAA	A-2010-0185-FFS	9/20/2010	Reserve Component Post Mobilization Training
USAAA	A-2010-0176-ALC	9/7/2010	Contracting Activities in Iraq During and After Force Drawdown
USAAA	A-2010-0153-ALL	9/3/2010	Access Control Program, Area Support Group–Kuwait
USAAA	A-2010-0160-ALM	8/31/2010	Non-Standard Equipment Sustainment
USAAA	A-2010-0152-ALL	8/31/2010	Pilot Program for Defense Base Act Insurance, Headquarters, U.S. Army Corps of Engineers
USAAA	A-2010-0171-ALL	8/24/2010	Disposal of Army Equipment and Material into Defense Reutilization and Marketing Office Sites in Iraq
USAAA	A-2010-0169-ALL	8/19/2010	Follow-up Audit of Forward Operating Base Closures, United States Forces–Iraq
USAAA	A-2010-0135-ALL	7/12/2010	Contracting Operations, Joint Contracting Command–Iraq/Afghanistan, Kandahar Regional Contracting Center, Afghanistan
USAAA	A-2010-0126-ALL	7/8/2010	Controls Over Vendor Payments–Southwest Asia (Phase II)
USAID	E-267-10-002-P	7/19/2010	Audit of USAID/Iraq’s Implementation of the Iraq Financial Management Information System

TABLE 6.2

## ONGOING OVERSIGHT ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 9/30/2010

AGENCY	PROJECT NUMBER	DATE INITIATED	PROJECT TITLE
DoD	D2010-D000AS-0266.000	8/9/2010	Army Warfighter Field Operations Customer Support Contract
DoD	D2009-DIPOE3-0260.000	8/5/2010	Evaluation of the DoD Combating Trafficking in Persons (CTIP) Program
DoD	D2010-D000JA-0241.000	7/21/2010	Special Operations Forces Plans for the Drawdown and Reset of Property in Iraq
DoD	D2010-D000JB-0219.000	5/7/2010	Drawdown and Reset of Equipment in Iraq–Supply Support Activity and Central Receiving and Shipping Point Operations in Iraq
DoD	D2010-D000JB-0211.000	5/6/2010	Realignment of Contractor Support in Response to the Drawdown of U.S. Forces from Iraq
DoD	D2010-D000CE-0190.000	3/23/2010	Material Purchases Made Through Partnership Agreements at Anniston Army Depot
DoD	D2010-D000JB-0158.000	2/17/2010	Contracting for U.S. Facilities in Iraq
DoD	D2010-D000AE-0139.000	1/29/2010	DoD Countermine and Improvised Explosive Device Defeat Systems Interrogation Arm
DoD	D2010-D000CH-0077.001	11/18/2009	Material Purchases Made Through the Partnership Agreement with Sikorsky Aircraft Corporation at Corpus Christi Army Depot
DoD	D2010-D000CH-0077.000	11/17/2009	Material Purchases Made Through the Partnership Agreement with the Boeing Company at Corpus Christi Army Depot
DoD	D2010-D000JA-0054.000	10/27/2009	Controls Over the Disposition of Equipment at the Defense Reutilization and Marketing Office at Camp Arifjan, Kuwait
DoD	D2010-D000AS-0031.000	10/27/2009	Logistics Civil Augmentation Program IV Support Contract
DoD	D2009-D000FH-0292.000	9/23/2009	Commercial Vendor Services Compliance With Federal Tax Reporting Requirements for Contractors Supporting Operations in Southwest Asia
DoD	D2009-DIPOE3-0306.000	9/11/2009	Review of Army Response to Sodium Dichromate Exposure at Qarmat Ali, Iraq
DoD	D2009-DOOSPO-0287.000	Not reported	Assessment of U.S. Government Efforts to Transition the Security Assistance Mission Supporting the Government of Iraq from Department of Defense Authority to Department of State Authority
DoD	D2009-D005PO-0286.000	8/11/2009, amended 2/19/2010	Assessment of U.S. Government Efforts to Develop the Logistics Sustainment Capability of the Iraq Security Forces
DoD	D2009-D000AS-0266.000	7/31/2009	Contracts Supporting Base Operation in Kuwait
DoD	D2009-D000AS-0247.000	7/10/2009	Contracts Supporting the Broad Area Maritime Surveillance Program
DoD	D2009-D000CH-0244.000	7/7/2009	International Oil Trading Company Contracts to Supply Fuel to U.S. Troops in Iraq

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AGENCY	PROJECT NUMBER	DATE INITIATED	PROJECT TITLE
DoD	D2009-D00SPO-0242.00	6/11/2009	Assessment of the Defense Hotline Allegations Concerning Traumatic Brain Injury Research Integrity in Iraq
DoD	D2009-D000AE-0210.000	4/28/2009	Marine Corps Fulfillment of Urgent Universal Need Statements for Laser Dazzlers
DoD	D2009-D000FG-0183.000	4/7/2009	FY 2008 Marine Corps Global War on Terror-Related Costs Processed Through the Standard Accounting, Budgeting, and Reporting System
DoD	D2009-D000AS-0163.000	3/2/2009	Army and Navy Small Boats Maintenance Contracts
DoD	D2009-D000JA-0136.000	1/30/2009	Controls Over the Common Access Card in Non-Department of Defense Agencies
DoD	D2009-D000FB-0112.000	1/5/2009	Deployment of the Standard Procurement System in the Joint Contracting Command Iraq/Afghanistan
DoD	D2009-D000CK-0100.000	12/9/2008	Maintenance and Support of the Mine Resistant Ambush Protected Vehicle
DoD	D2009-D000AE-0007.000	9/29/2008	Army Acquisition Actions in Response to the Threat to Light Tactical Wheeled Vehicles
DoD	D2008-D000CD-0256.000	8/7/2008	DoD Body Armor Contracts
DoD	D2007-D000FL-0252.000	8/31/2007	Internal Controls and Data Reliability in the Deployable Disbursing System
DoS	10MERO3016	7/1/2010	Review of Embassy Baghdad Transition Planning–Phase II (DoD Downsizing)
DoS	10-ISP-3023	6/1/2010	Compliance Follow-Up Review of Embassy Baghdad
GAO	351525	8/13/2010	Task Force for Business and Stability Operations (TFBSO)
GAO	351514	6/14/2010	The Augmentation of Army Brigade Combat Teams to Advise and Assist Foreign Security Forces
GAO	351476	4/1/2010	Final Drawdown from Iraq (Phase V)
GAO	320734	11/1/2009	U.S Strategy and Joint Campaign Plan for Iraq
GAO	120874	11/1/2009	Annual Mandated Review of Contracting in Afghanistan and Iraq
GAO	361123	8/1/2009	U.S. Military Burn Pit Operations in Iraq and Afghanistan
GAO	351393	8/1/2009	DoD Health Care Personnel Contingency Operations Requirements Review
GAO	120931	7/1/2009	Close-out of Iraq Contracts
GAO	320654	4/1/2009	U.S., Iraqi, and International Efforts to Address Challenges in Reintegrating Displaced Iraqis
USAAA	A-2010-ALL-0541.000	4Q/FY 2010	Bulk Fuel Operations in Iraq
USAAA	A-2010-ALL-0480.000	3Q/FY 2010	Agreed-Upon Procedures Attestation for USFOR-A LOGCAP Course of Action – Afghanistan
USAAA	A-2010-ALL-0260.000	3Q/FY 2010	Follow-up Audit of Retrograde Operations in Southwest Asia – Multi Class Supplies
USAAA	A-2010-ALM-0394.000	3Q/FY 2010	Follow-up Audit of Automatic Reset Induction
USAAA	A-2010-ALL-0258.000	3Q/FY 2010	Follow-up Audit of Retrograde Operations–Class VII Equipment in Iraq
USAAA	A-2010-ALC-0125.003	3Q/FY 2010	Human Capital Issues–Current Plans (Reachback Capabilities)
USAAA	A-2010-ALL-0421.000	2Q/FY 2010	LOGCAP IV Contract Requirements Determination–Afghanistan
USAAA	A-2010-FFF-0372.000	2Q/FY 2010	Improvised Explosive Device Defeat (IED-D) Home Station Training
USAAA	A-2010-ALL-0364.000	2Q/FY 2010	Excalibur Accountability Gap
USAAA	A-2010-ALL-0338.000	2Q/FY 2010	Redistribution Property Assistance Teams
USAAA	A-2010-ALL-0312.000	2Q/FY 2010	U.S. Equipment Transferred to Iraq
USAAA	A-2010-ALL-0311.001	2Q/FY 2010	Disposal of Army Equipment and Materials Into Dump Sites in Iraq
USAAA	A-2010-ALL-0103.000	2Q/FY 2010	Controls Over Vendor Payments Phase II–Afghanistan
USAAA	A-2010-ALL-0232.000	1Q/FY 2010	Forward Operating Base Closures–Property Transfers to Government of Iraq
USAAA	A-2009-ALL-0593.000	4Q/FY 2009	Controls over Shipping Container Accountability and Visibility - Iraq
USAAA	A-2009-ALL-0590.000	4Q/FY 2009	Camp Buehring Fuel Farm Operations - Kuwait
USAAA	A-2009-ALL-0571.000	4Q/FY 2009	Contract for Recycling and Disposing of Waste Material at Camp Steeler, Iraq

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## OTHER AGENCY OVERSIGHT

AGENCY	PROJECT NUMBER	DATE INITIATED	PROJECT TITLE
USAAA	A-2009-ALL-0531.000	4Q/FY 2009	Commander's Emergency Response Program - Afghanistan
USAAA	A-2009-ALL-0118.000	2Q/FY 2009	Controls over Vendor Payments – Southwest Asia (Phase II)
USAAA	A-2009-ALC-0093.000	1Q/FY 2009	Logistics Civil Augmentation Program (LOGCAP) III, Contract Close-out
USAAA	A-2008-ALL-0204.000	2Q/FY 2008	Government Property Provided to Contractors–Kuwait Base Operations
USAID	Not reported	4Q/FY 2010	Survey of USAID/Iraq's Prime Recipients Compliance with Audit Requirements for Its Sub-Recipients
USAID	Not reported	3Q/FY 2010	Audit of Audit of USAID/Iraq's Microfinance Activities Under its Provincial Economic Growth Program
USAID	Not reported	3Q/FY 2010	Audit of USAID/Iraq's Nonexpendable Property
USAID	Not reported	2Q/FY 2010	Audit of USAID/Iraq's Payroll Payments to Foreign Service Nationals, Third Country Nationals, and U.S. Personal Services Contractors
USAID	Not reported	2Q/FY 2010	Audit of USAID/Iraq's Community Action Program III Activities
USAID	Not reported	1Q/FY 2010	Audit of USAID/Iraq's Agriculture Private Sector Development - Agribusiness Program
USAID	Not reported	4Q/FY 2009	Survey of Incidents Reported by Private Security Contractors of USAID/Iraq's Contractors and Grantees

## OTHER AGENCY INVESTIGATIONS

SIGIR regularly coordinates with other government agencies conducting investigations in Iraq. For statistics of investigative activities from other agencies, see Table 6.3. ♦

TABLE 6.3  
STATUS OF INVESTIGATIVE ACTIVITIES OF OTHER U.S. AGENCIES, AS OF 9/30/2010

AGENCY	INVESTIGATORS IN IRAQ	INVESTIGATORS IN KUWAIT	OPEN/ONGOING CASES*
U.S. Army Criminal Investigation Command, Major Procurement Fraud Unit	6	2	66
Defense Criminal Investigative Service	5	1	241
DoS OIG	1	0	15
FBI	5	1	72
NCIS	0	1	3
U.S. Air Force, Office of Special Investigations	2	0	5
USAID	1	0	11
<b>TOTAL</b>	<b>20</b>	<b>5</b>	<b>413</b>

\* Numbers include pending cases worked with other agencies within the Joint Operations Center.