Table of Contents

Part I  Security Environment Surrounding Japan

Overview
1. General ..........................................................................................................................3
2. Security Environment in the Asia-Pacific Region .......................................................4

Chapter 1  Issues in the International Community

Section 1.  International Terrorism
1. Overview ....................................................................................................................8
2. Fight against Terrorism in and around Afghanistan ....................................................8
3. Terrorist Attacks around the World ...........................................................................9

Section 2.  Transfer and Proliferation of Weapons of Mass Destruction
1. Nuclear Weapons .......................................................................................................11
2. Biological and Chemical Weapons ..........................................................................11
3. Ballistic Missiles ......................................................................................................11
4. Growing Concerns about Transfer or Proliferation of WMDs .................................12
5. Iran’s Nuclear Issue .................................................................................................13

COLUMN
(COMMENTARY) Nuclear Weapons and Nuclear Issues Surrounding North Korea and Iran ..........14

Section 3.  Situation of Iraq
1. Security Situation after the Establishment of New Iraqi Government .....................16
2. Security and Other Measures by Iraqi Security Forces and Multinational Forces ..........16
3. Efforts by the Iraqi Government and International Community toward Reconstruction 17

Section 4.  Complex and Diverse Regional Conflicts .....................................................19

Chapter 2  National Defense Policies of Countries

Section 1.  The United States
1. Security and Defense Policies ....................................................................................26
2. Military Posture ........................................................................................................32
3. Military Posture in the Asia-Pacific Region ..............................................................33

Section 2.  Korean Peninsula
1. North Korea .............................................................................................................35
2. The ROK ..................................................................................................................43
3. U.S. Forces Stationed in the ROK ............................................................................45

Section 3.  China
1. General Situation .....................................................................................................47
2. Military Affairs .........................................................................................................52
3. Military Capabilities of Taiwan ...............................................................................60

COLUMN
(COMMENTARY) Aerospace Development in China ......................................................62
Section 4. Russia
1. General Situation .................................................................64
2. Security and Defense Policies ...................................................64
3. External Relations .................................................................66
4. Military Posture ..................................................................68
5. Russian Forces in the Far East Region ......................................69

Section 5. Southeast Asia
1. General Situation .................................................................75
2. Cooperation with the United States in Security Issues ...............76
3. East Timor Situation .............................................................77
4. Spratly Islands .....................................................................77

Section 6. South Asia
1. India ....................................................................................79
2. Pakistan ...............................................................................81
3. India-Pakistan Conflict ........................................................82

Section 7. Australia .....................................................................84

Section 8. Europe
1. General Situation .................................................................86
2. Enhancement and Enlargement of Security Frameworks ..........87
3. Efforts by Individual Countries to Maintain the Capability to Respond to Various Conditions ........89
4. Efforts toward Stabilization in Europe .....................................91

Section 9. Efforts to Stabilize the International Community by the U.N. and Other Scheme
1. General ..................................................................................92
2. Developments in U.N. Peacekeeping Operations (PKOs) ...........92

Part II The Basics of Japan’s Defense Policy

Chapter 1 The Basic Concepts on Japan’s Defense Policy

Section 1. Measures to Ensure Japan’s Security .................................................................108

COLUMN
(COMMENTARY) National Security Council (NSC) .......................................................108

Section 2. The Constitution and the Right of Self-Defense
1. The Constitution and the Right of Self-Defense .................................................................110
2. The Government’s View on Article 9 of the Constitution ..................................................110
   The Permitted Self-Defense Capability/Requirements for Exercising the Right of Self-Defense/ Geographic
   Boundaries within which the Right of Self-Defense may be Exercised/The Right of Collective Self-Defense/
   The Right of Belligerence

COLUMN
(COMMENTARY) Council on Reconstruction of a Legal Basis for Security .............................111

Section 3. The Basis of Defense Policy
1. National Defense Policy ..................................................................112
2. Other Basic Policies ....................................................................112
   Exclusively Defense Oriented Policy/Not Becoming a Military Power/The Three Non-Nuclear Principles/
   Ensuring Civilian Control

Part II The Basics of Japan’s Defense Policy

Chapter 1 The Basic Concepts on Japan’s Defense Policy

Section 1. Measures to Ensure Japan’s Security .................................................................108

COLUMN
(COMMENTARY) National Security Council (NSC) .......................................................108

Section 2. The Constitution and the Right of Self-Defense
1. The Constitution and the Right of Self-Defense .................................................................110
2. The Government’s View on Article 9 of the Constitution ..................................................110
   The Permitted Self-Defense Capability/Requirements for Exercising the Right of Self-Defense/ Geographic
   Boundaries within which the Right of Self-Defense may be Exercised/The Right of Collective Self-Defense/
   The Right of Belligerence

COLUMN
(COMMENTARY) Council on Reconstruction of a Legal Basis for Security .............................111

Section 3. The Basis of Defense Policy
1. National Defense Policy ..................................................................112
2. Other Basic Policies ....................................................................112
   Exclusively Defense Oriented Policy/Not Becoming a Military Power/The Three Non-Nuclear Principles/
   Ensuring Civilian Control
Chapter 2  The National Defense Program Guidelines and Build-Up of Defense Capability

Section 1. Basic Concepts of Formulating National Defense Program Guidelines
1. Background of Formulating the NDPG
   Changes in International Situation and Diversifying Roles of Military Capability/Remarkable Developments of Scientific Technologies/Expansion of the SDF Activities and the Improvement of Japan’s Emergency Response Mechanism/Characteristics of Japan
2. Circumstances for Formulating the National Defense Program Guidelines
3. The Basic Concept of the National Defense Program Guidelines
   Two Objectives and Three Approaches/New Concept for Defense Capability (Shifting emphasis from “deterrent effects” to “response capability”)

Section 2. Contents of the National Defense Program Guidelines
1. Basic Principles of Japan’s Security Policy
2. Vision for the Future Defense Capability
3. Specific Posture for Defense Capability
   Ground Self-Defense Force/ Maritime Self-Defense Force/Air Self-Defense Force/Major Equipment and Major Units that can be also Available for Ballistic Missile Defense (BMD)
4. Additional Elements for Consideration
5. Three Principles on Arms Exports

Section 3. Mid-Term Defense Program
1. Policies for the Program
2. Review of Organizations of Defense Ministry and SDF
3. Main Projects Concerning Capabilities of the SDF
4. Measures to Strengthen Japan-U.S. Security Arrangements
5. Scale of Build-Up and Necessary Budget

Section 4. Defense Build-Up Program for FY 2007

Section 5. Defense-Related Expenditures
1. Breakdown of Defense-Related Expenditures
2. Comparison with Other Countries

Chapter 3  Transition to a Ministry and Stipulation of the International Peace Cooperation as SDF’s Primary Mission
A new organization for defense—Building an organization more capable of coping with crises and better able to contribute to world peace—
Section 1. History of the MOD/SDF—Expansion of the Duties/Roles Required of the MOD/SDF—
1. The History of the MOD/SDF ..................................................................................................................158
   From the Establishment of the Defense Agency/SDF to the End of the Cold War/The Post-Cold War Era
2. MOD/SDF Activities Today .....................................................................................................................167
   Iraq Humanitarian and Reconstruction Assistance Activities/Ballistic Missile Defense/Issues Involving U.S. Military Facilities/Areas in Japan

Section 2. Transition to a Ministry and Stipulation of the International Peace Cooperation as SDF’s Primary Mission
1. Basic Concepts in Transition to a Ministry and Stipulation of the International Peace Cooperation as SDF’s Primary Mission .....................................................................................................................173
   Background/Necessity of Transition to a Ministry and Stipulation of International Peace Cooperation as Primary Mission of the SDF
2. Significance of Transition to a Ministry ..................................................................................................174
   Significance of Transition to a Ministry/Adherence to the Fundamentals of Defense Policies/History of the Transition to a Ministry

COLUMN
(Q&A) Will the transition of the Japan Defense Agency to a ministry facilitate the Self-Defense Forces to respond quickly to diverse emergency situations? .........................................................177
(Q&A) How has the transition to a ministry been accepted by other countries? ..........................................178
(Q&A) Has the transition to a ministry affected civilian control of the Self-Defense Forces in any way? .................................................................................................................................180
(Q&A) Why was the ministry named “Boei-sho (Ministry of Defense)” rather than “Kokubo-sho (Ministry of National Defense)”? ...................................................................................................181

3. Significance of Stipulation of International Peace Cooperation as SDF’s Primary Mission .................182
   Traditional Concepts/Review on Positioning of Missions/Operations Newly Stipulated as Primary Missions

COLUMN
(Q&A) How has the Self-Defense Forces Law been amended to upgrade international peace cooperation activities to a primary mission of the Self-Defense Forces? .................................................................184
(Q&A) International peace cooperation activities have been upgraded to a primary mission of the Self-Defense Forces. Does this mean that the SDF now can perform any activity without limits in foreign countries? .................................................................................................................................186
(Q&A) Why have activities to respond to situations in areas surrounding Japan been upgraded to a primary mission? .........................................................................................................................186
(Q&A) Why have minesweeping and evacuation of Japanese nationals residing abroad in emergency situations been upgraded to a primary mission? ........................................................................186
(Q&A) Why have activities based on the Anti-Terrorism Special Measures Law and the Law Concerning Special Measures on Humanitarian and Reconstruction Assistance in Iraq been upgraded to a primary mission? .........................................................................................................................187

4. Development of a Legal Structure Related to Transition to a Ministry and Stipulation of International Peace Cooperation as SDF’s Primary Mission ..................................................................187

COLUMN
(Q&A) How has the government been explaining the transition to a ministry to the people of Japan? ....190
(Q&A) Will the transition to a ministry lead to the increase in defense spending? .....................................190
(VOICE) The Road to the Establishment of the Ministry of Defense ................................................................191

Section 3. Organization of the MOD/SDF
1. Organization of the MOD/SDF ..................................................................................................................193
   Organization of the MOD/SDF/System to Support the Minister of Defense
2. Restructuring of the Defense Agency (previous fiscal year) .....................................................................196
3. Restructuring of the MOD (current fiscal year) .......................................................................................196
Part III Measures for Defense of Japan

Chapter 1 Operations of Self-Defense Forces for Defense of Japan, Disaster Relief and Civil Protection

Section 1. Frameworks for Responses to Armed Attack Situations

1. The Basic Framework for Responses to Armed Attack Situations .......................................................204
   The Background to Laws for Responses to Armed Attack Situations/Responses to Armed Attack Situations/Responses to Emergency Response Situations other than Armed Attack Situations

2. Measures based on the Armed Attack Situation Response Law and other Relevant Laws ...................209
   Measures to Protect the Lives of the People and to Minimize the Effects on the Daily Lives of the People/Measures to Terminate Armed Attack Situations/Guarantee of Appropriate Implementation of the International Humanitarian Laws/High Readiness for Armed Attack Situations

3. Measures for Protection of Civilians ........................................................................................ .............212
   Measures for Protection of the People in Armed Attack Situations (Civil Protection Measures)/The Basic Guidelines for Civil Protection/Roles of the SDF in Civil Protection

4. Activities by the Ministry of Defense and the SDF to Facilitate the Civil Protection Measures ..........216
   Participation in Training for Civil Protection/Coordination with Local Governments in Peacetime

5. The Operation of the Self-Defense Forces .................................................................................... ........218
   Outline of Joint Operations Posture/Establishment of Infrastructure to Enhance the Joint Operations Posture/Placing the Defense Intelligence Headquarters under the Direct Command of the Minister of Defense

Section 2. Effective Responses to New Threats and Diverse Contingencies

1. Response to Ballistic Missile Attacks ....................................................................................... .............221
   Japan’s Ballistic Missile Defense (BMD)/Improvement in Legislations and Operations/Missile Defense of the United States and Japan-U.S. BMD Cooperation/North Korea’s Ballistic Missiles Launch and Japan’s Response

2. Response to Attacks by Guerrillas and Special Operations Forces .......................................................232
   Response to Attacks by Guerrillas and Special Operations Forces/Response to Armed Agents/Response to Nuclear, Biological and Chemical (NBC) Weapons

COLUMN
(commentary) Central Readiness Force .............................................................................................238

3. Response to Aggression on Islands ........................................................................................... ............239
   Operations to Respond to Aggression on Islands

4. Warning and Surveillance in Sea Areas and Airspace surrounding Japan, and Responses to Violation of Airspace and Armed Special-Operations Vessels ............................................................239
   Warning and Surveillance in Sea Areas surrounding Japan/Warnings and Emergency Takeoffs (scrambles) in Preparation against Violation of Territorial Airspace/Response to Submarines Submerged in Japan’s Territorial Waters/Response to Armed Special Operations Vessels

5. Response to Large-Scale and Special-Type Disasters .......................................................................... .243
   Mechanism of Disaster Relief Dispatches/Initial Operations Postures and Implementation Status of Disaster Relief Dispatches/Efforts Made in Peace Time in Preparation for Disaster Relief

COLUMN
(commentary) Aeromedical Evacuation Squadron ............................................................................247

(VOICE) Voice of SDF Personnel who Participated in the Relief Mission to Support Water Supply in Kure and Etajima .................................................................249

(VOICE) Voice of SDF Personnel who Participated in the Relief Mission for the Rainstorm Disaster in the Hokuriku Region .............................................................................253
6. Response to Other Events ........................................................................................................254
   Improvement in Guard Postures for SDF Facilities/Preparing for Transporting Expatriates of Japanese
   Nationality/Response to Situations in Areas Surrounding Japan/Collecting Military Information

COLUMNS
(VOICE) Voice of an SDF Officer Working as the Defense Attaché in Belgium ..........................257

Section 3. Preparation against Full-Scale Aggression
1. Air Defense Operations .............................................................................................................258
2. Operations for the Defense of Surrounding Sea Areas ...............................................................259
3. Operations for Defending Japan’s Territory (Response to Landing of Invading Forces) ............261
4. Operations for Ensuring the Safety of Maritime Traffic ............................................................262

Chapter 2 Strengthening of the Japan-U.S. Security Arrangements

Section 1. The Japan-U.S. Security Arrangements
1. The Significance of the Japan-U.S. Security Arrangements ........................................................270
   Maintenance of Japan’s Security/Maintenance of Peace and Stability in the Region Surrounding Japan/
   Improvement of International Security Environment
2. Presence of U.S. Forces in Japan ..............................................................................................272
   Significance of Presence of U.S. Forces in Japan (USFJ)/USFJ Facilities and Areas and Local Communities
   which Host such Facilities and Areas/USFJ in Okinawa
3. Post-Cold War Developments Concerning the Japan-U.S. Security Arrangements .................274
   Japan-U.S. Joint Declaration on Security and Subsequent Developments/Japan-U.S. Alliance for the World
   and Asia

Section 2. Japan-U.S. Security Consultation on the Future of the Japan-U.S. Alliance
1. Outline of Recent Japan-U.S. Consultations ..............................................................................277
2. Common Strategic Objectives (the First Stage) ........................................................................277
3. Roles, Missions and Capabilities of Japan and the United States (the Second Stage) ..............279
   Outline/Basic Concepts of Roles, Missions and Capabilities/Examples of Operations in Bilateral Security
   and Defense Cooperation to be Improved/Essential Steps to Strengthen Posture for Bilateral Security and Defense
   Cooperation/Enhancement and Expansion of Japan-U.S. Security and Defense Cooperation
4. Force Posture Realignment, Including USFJ (the Third Stage) ..............................................283
   Outline/Force Posture Realignment in Okinawa/Improvement of U.S. Army Command and Control Capacity/
   Yokota Air Base and Airspace/Relocation of Carrier Air Wing from Atsugi Air Base to Iwakuni Air Base/
   Ballistic Missile Defense (BMD)/Training Relocation
5. Initiatives for Smooth Implementation of the Realignment of USFJ ........................................297
   Basic Policy/Development of a Law for Smooth Implementation of the Realignment of USFJ
6. Various Measures Concerning USFJ Facilities and Areas ....................................................302
   USFJ Facilities and Areas Located in Japan, Excluding Okinawa/USFJ Facilities and Areas in Okinawa

Section 3. Policies and Measures for Enhancing Credibility of the Japan-U.S. Security Arrangements
1. Japan-U.S. Policy Consultation ............................................................................................309
   Major Forums for Japan-U.S. Consultations on Security/Recent Policy Consultations between Japan and the
   United States
   The Guidelines for Japan-U.S. Defense Cooperation/Various Policies for Ensuring the Effectiveness of the
   Guidelines
3. Japan-U.S. Joint Exercises ....................................................................................................320
4. The Acquisition and Cross-Servicing Agreement between Japan and the United States (ACSA) ....321
5. Mutual Exchanges of Equipment and Technology ..................................................................321
6. Measures to Ensure the Smooth Stationing of USFJ ..............................................................322
   Cost Sharing for the Stationing of USFJ/Ensuring the Stable Use of USFJ Facilities and Areas/Efforts to
   Preserve the Environment Surrounding USFJ Facilities and Areas
Chapter 3 Improvement of International Security Environment

Section 1. Efforts to Support International Peace Cooperation Activities

1. Efforts to Support International Peace Cooperation Activities Proactively and on Its Own Initiative
   History of Japan’s Efforts to Support International Peace Cooperation Activities/Reviewing the positioning of
   SDF’s International Peace Cooperation Activities/Establishing the System to Adequately Undertake
   International Peace Cooperation Activities/Welfare and Mental Health Care of Dispatched SDF Personnel

2. Cooperation in Global Efforts to Reconstruct Iraq
   Details about Japan’s efforts to support Iraqi reconstruction and significance/Outline of the Law Concerning
   Special Measures on Humanitarian and Reconstruction Assistance in Iraq and the Basic Plan/SDF Operations/
   Evaluation of Japan’s Efforts by Other Countries

3. Activities Responding to International Terrorism
   Efforts of International Community/Japan’s Efforts in Combating Terrorism/Outline of the Anti-Terrorism
   Special Measures Law and the Basic Plan/SDF Activities/Commendation from the World for Japan’s
   Contribution

4. Efforts to Support U.N. peacekeeping operations (PKO)
   Outline of International Peace Cooperation Law/United Nations Political Mission in Nepal (UNMIN)/
   International Peace Cooperation Activities in the Golan Heights/Dispatch of Self-Defense Officials to the U.N.
   Department of Peacekeeping Operations

5. International Disaster Relief Operations
   Outline of the Law Concerning the Dispatch of International Disaster-Relief Teams/International Disaster-
   Relief Operations by the SDF and the SDF’s Posture/International Disaster-Relief Activities by Japan after
   Earthquake in Central Java Island

Section 2. Promotion of Security Dialogue and Defense Exchanges

1. Significance of Security Dialogue and Defense Exchanges
Section 3. Efforts for Arms Control, Disarmament and Non-Proliferation (The preamble)

1. Efforts on Treaties related to Arms Control, Disarmament and Non-Proliferation of Weapons of Mass Destruction .................................................................384
2. Efforts on Arms Control-Related Treaties on Certain Conventional Weapons .........................................................................................................................387
3. International Efforts for Non-Proliferation of Weapons of Mass Destruction and Others .................................................................388

Chapter 4 Citizens of Japan, the Ministry of Defense and the SDF

Section 1. Sustaining Defense Capabilities

1. Recruitment and Employment of Personnel in the Ministry of Defense and the SDF .................................................................396

COLUMN
(VOICE) Voice of a Newcomer (GSDF Enlisted (Upper) Candidate): My Best Colleagues ..........400
(VOICE) Voice of a Newcomer (MSDF Trainee Officer) ..................................................................400
(VOICE) Voice of a Newcomer (ASDF Student Pilot) ........................................................................402
(VOICE) Voice of Reserve SDF Personnel: Having Participated in a Japan-U.S. Combined Command Post Exercise .................................................................404

2. Daily Education and Training .................................................................................................................405

COLUMN
(VOICE) Voice of an Instructor for the Ship Inspection Course (MSDF) .................................................409

3. Working Conditions of Personnel, Measures on Personnel Matters, and Other Related Issues ........411

COLUMN
(COMMENTARY) Opening of a Ministry of Defense Day Care Center (Mishuku Garrison) ..........414
(Q&A) How is mental health care provided to personnel of the Ministry of Defense/the Self-Defense Forces? ..........414

4. Retirement and Outplacement of Personnel, and Related Issues .................................................................415

COLUMN
Retirement and Outplacement of Personnel/Regulations on Outplacement of Personnel after Retirement/Reappointment System
COLUMN
(COMMENTARY) The Prime Minister Attends the Memorial Service for SDF Personnel who Lost Their Lives on the Duty .................................................................417

5. Enhancing Information and Communications Capabilities .................................................................418
   Response to the Information Technology (IT) Revolution/Future Policy for Information and Communications (Action Plan)
6. Efforts for Efficient Acquisition of Defense Equipment ....................................................................419
   Promotion of Acquisition Transformation—the Reform Process and Outline of Various Efforts—/Enhancement and Strengthening of the Defense Industry & Technological Bases
7. Enhancement of Technical Research & Development ........................................................................420
   Research & Development of the Technical Research & Development Institute (TRDI)/Strengthening Relations with the Commercial-off-the-Shelf (COTS) Technology Field
8. Preventing Information Leaks .............................................................................................................422
   Protection of Classified Information/Countermeasures for Information Leaks through the Internet/Occurrence of Case Regarding the MSDF Destroyer Shirane and Responses

Section 2. Interaction between the Ministry of Defense & the SDF, and the Local Community & Japanese Citizens
1. Cooperation from Local Public Organizations and Other Related Organizations with the SDF ..........427
   Cooperation in Recruitment of SDF Regular Personnel and Support for Outplacement/Support of SDF Activities

COLUMNS
(VOICE) Voice of an Administrative Official Working as an Interpreter and Coordinator .........................428

2. Activities in Civic Life and Contributions to Society ...........................................................................429
3. Harmony between Defense Facilities and the Local Community, and Environmental Conservation ...
   Environmental Conservation
4. Public Relations Activities, Information Disclosure, and Related Activities ........................................435

Section 3. Gaining the Trust of Japanese Citizens
1. Actions Taken Against Collusive Bidding, and Similar Cases by the Defense Facilities Administration Agency .................................................................438
   Report on Drastic Measures to Prevent Collusive Bidding, and Similar Cases by the Defense Facilities Administration Agency
2. Measures against Drug Abuse .............................................................................................................439

COLUMNS
(VOICE) Voice of a Female SDF Member Working as a Base Security Guard ...........................................440

Reference..................................................................................................................................................445