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| Exhibit R-2, RDT&E Budget Item Justification: FY 2018 Army                                                   |             |         |         |              |                                                                                             |               |         |         |         | Date: May 2017 |                  |            |
|--------------------------------------------------------------------------------------------------------------|-------------|---------|---------|--------------|---------------------------------------------------------------------------------------------|---------------|---------|---------|---------|----------------|------------------|------------|
| Appropriation/Budget Activity<br>2040: Research, Development, Test & Evaluation, Army / BA 1: Basic Research |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry Research Centers |               |         |         |         |                |                  |            |
| COST (\$ in Millions)                                                                                        | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                 | FY 2018 Total | FY 2019 | FY 2020 | FY 2021 | FY 2022        | Cost To Complete | Total Cost |
| Total Program Element                                                                                        | -           | 99.148  | 94.280  | 87.395       | -                                                                                           | 87.395        | 92.115  | 88.203  | 89.772  | 91.572         | -                | -          |
| EA6: Cyber Collaborative Research Alliance                                                                   | -           | 3.106   | 3.281   | 3.338        | -                                                                                           | 3.338         | 4.886   | 4.982   | 5.082   | 5.186          | -                | -          |
| F17: Neuroergonomics Collaborative Technology Alliance                                                       | -           | 5.046   | 5.332   | 4.923        | -                                                                                           | 4.923         | 4.720   | 4.830   | 4.943   | 5.044          | -                | -          |
| FF5: Distributed Collaborative Intelligent Systems CTA                                                       | -           | 0.000   | 0.000   | 4.178        | -                                                                                           | 4.178         | 5.820   | 6.131   | 6.295   | 6.436          | -                | -          |
| FF7: Internet of Battlefield Things CTA                                                                      | -           | 0.000   | 0.000   | 3.068        | -                                                                                           | 3.068         | 4.179   | 6.020   | 6.084   | 6.175          | -                | -          |
| H04: HBCU/MI Programs                                                                                        | -           | 1.812   | 1.486   | 1.536        | -                                                                                           | 1.536         | 1.591   | 1.629   | 1.671   | 1.704          | -                | -          |
| H05: Institute For Collaborative Biotechnologies                                                             | -           | 6.228   | 6.595   | 5.999        | -                                                                                           | 5.999         | 5.999   | 5.998   | 5.997   | 6.150          | -                | -          |
| H09: Robotics CTA                                                                                            | -           | 4.587   | 4.040   | 4.136        | -                                                                                           | 4.136         | 4.240   | 2.957   | 3.076   | 3.139          | -                | -          |
| H50: Network Sciences Cta                                                                                    | -           | 10.627  | 9.166   | 6.466        | -                                                                                           | 6.466         | 5.828   | 0.000   | 0.000   | 0.000          | -                | -          |
| H53: Army High Performance Computing Research Center                                                         | -           | 5.434   | 4.404   | 0.000        | -                                                                                           | 0.000         | 0.000   | 0.000   | 0.000   | 0.000          | -                | -          |
| H54: Micro-Autonomous Systems Technology (MAST) CTA                                                          | -           | 7.374   | 6.792   | 0.000        | -                                                                                           | 0.000         | 0.000   | 0.000   | 0.000   | 0.000          | -                | -          |
| H59: International Tech Centers                                                                              | -           | 6.735   | 6.563   | 6.682        | -                                                                                           | 6.682         | 6.556   | 6.742   | 7.081   | 7.225          | -                | -          |
| H73: Automotive Research Center (ARC)                                                                        | -           | 3.009   | 3.180   | 3.235        | -                                                                                           | 3.235         | 3.296   | 3.361   | 3.427   | 3.498          | -                | -          |
| J08: Institute For Creative Technologies (ICT)                                                               | -           | 5.839   | 6.186   | 6.308        | -                                                                                           | 6.308         | 6.440   | 6.569   | 6.701   | 6.837          | -                | -          |
| J12: Institute For Soldier Nanotechnology (ISN)                                                              | -           | 5.339   | 6.185   | 5.999        | -                                                                                           | 5.999         | 5.999   | 5.998   | 5.997   | 6.057          | -                | -          |
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|-----------------------------------------------------------------------------|---|-------|-------|--------|--------------------------------------------------------|--------|--------|--------|--------|----------------|---|---|--|
| Appropriation/Budget Activity                                               |   |       |       |        | R-1 Program Element (Number/Name)                      |        |        |        |        |                |   |   |  |
| 2040: Research, Development, Test & Evaluation, Army I BA 1: Basic Research |   |       |       |        | PE 0601104A I University and Industry Research Centers |        |        |        |        |                |   |   |  |
| J13: UNIVERSITY AND INDUSTRY INITIATIVES (CA)                               | - | 4.000 | 0.000 | 0.000  | -                                                      | 0.000  | 0.000  | 0.000  | 0.000  | 0.000          | - | - |  |
| J14: Army Educational Outreach Program                                      | - | 9.287 | 9.864 | 10.047 | -                                                      | 10.047 | 10.272 | 10.466 | 10.675 | 10.893         | - | - |  |
| J15: Network Sciences ITA                                                   | - | 3.909 | 4.078 | 4.082  | -                                                      | 4.082  | 4.111  | 4.151  | 4.233  | 4.320          | - | - |  |
| J17: Vertical Lift Research Center Of Excellence                            | - | 2.911 | 3.076 | 3.130  | -                                                      | 3.130  | 3.186  | 3.249  | 3.313  | 3.381          | - | - |  |
| VS2: Multi-Scale Materials Modeling Centers                                 | - | 8.928 | 8.851 | 9.047  | -                                                      | 9.047  | 8.754  | 8.739  | 8.688  | 8.886          | - | - |  |
| VS3: Center For Quantum Science Research                                    | - | 4.977 | 5.201 | 5.221  | -                                                      | 5.221  | 6.238  | 6.381  | 6.509  | 6.641          | - | - |  |

## A. Mission Description and Budget Item Justification

This Program Element (PE) fosters university and industry based research to provide a scientific foundation for enabling technologies for future force capabilities. Broadly, the work in this PE falls into three categories: Collaborative Technology Alliances / Collaborative Research Alliances (CTAs/CRAs), University Centers of Excellence (COE), and University Affiliated Research Centers (UARC). The Army formed CTAs to leverage large investments by the commercial sector in basic research areas that are of great interest to the Army. CTAs are industry-led partnerships between industry, academia, and the Army Research Laboratory (ARL) to incorporate the practicality of industry, the expansion of the boundaries of knowledge from universities, and Army scientists to shape, mature, and transition technology relevant to the Army mission. CTAs have been competitively established in the areas of Micro Autonomous Systems Technology (MAST), Network Sciences, Robotics, and Cognition and Neuroergonomics. CRAs are academia-led partnerships, which leverage the cutting-edge innovation found in the academic environment. CRAs have been established in the areas of Multi-Scale Materials Modeling (electronic materials and materials in extreme environments) and in cyber security. The COEs focus on expanding the frontiers of knowledge in research areas where the Army has enduring needs, and couples state-of-the-art research programs at academic institutions with broad-based graduate education programs to increase the supply of scientists and engineers in automotive and rotary wing technology. Also included are Army Educational Outreach Program (AEOP) and activities to stimulate interest in science, math, and technology among middle and high school students. This PE includes support for basic research at three Army UARCs, which have been created to exploit opportunities to advance new capabilities through a sustained long-term multidisciplinary effort. The Institute for Soldier Nanotechnologies focuses on Soldier protection by emphasizing revolutionary materials research for advanced Soldier protection and survivability. The Institute for Collaborative Biotechnologies focuses on enabling network centric-technologies, and broadening the Army's use of biotechnology for the development of bio-inspired materials, sensors, and information processing. The Institute for Creative Technologies is a partnership with academia and the entertainment and gaming industries to leverage innovative research and concepts for training and simulation. Examples of specific research of mutual interest to the entertainment industry and the Army are technologies for realistic immersion in synthetic environments, networked simulation, standards for interoperability, and tools for creating simulated environments. This PE also includes the Historically Black Colleges and Universities and Minority Institution (HBCU/MI) Centers of Excellence that address critical research areas for Army Transformation.

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| Appropriation/Budget Activity<br>2040: Research, Development, Test & Evaluation, Army I BA 1: Basic Research                                                                                                                                                                                                                                         |  | R-1 Program Element (Number/Name)<br>PE 0601104A I University and Industry Research Centers |         |                |             |               |
| The cited work is consistent with the Assistant Secretary of Defense, Research and Engineering Science and Technology focus areas and the Army Modernization Strategy.                                                                                                                                                                               |  |                                                                                             |         |                |             |               |
| Work in this PE is performed by the ARL in Adelphi, MD; the Army Tank Automotive Research, Development, and Engineering Center (TARDEC) in Warren, MI; the Army Aviation and Missile Research, Development and Engineering Center (AMRDEC), in Huntsville, AL, and the Army Research, Development and Engineering Command (RDECOM), in Aberdeen, MD. |  |                                                                                             |         |                |             |               |
| B. Program Change Summary (\$ in Millions)                                                                                                                                                                                                                                                                                                           |  | FY 2016                                                                                     | FY 2017 | FY 2018 Base   | FY 2018 OCO | FY 2018 Total |
| Previous President's Budget                                                                                                                                                                                                                                                                                                                          |  | 104.340                                                                                     | 94.280  | 94.903         | -           | 94.903        |
| Current President's Budget                                                                                                                                                                                                                                                                                                                           |  | 99.148                                                                                      | 94.280  | 87.395         | -           | 87.395        |
| Total Adjustments                                                                                                                                                                                                                                                                                                                                    |  | -5.192                                                                                      | 0.000   | -7.508         | -           | -7.508        |
| • Congressional General Reductions                                                                                                                                                                                                                                                                                                                   |  | -                                                                                           | -       |                |             |               |
| • Congressional Directed Reductions                                                                                                                                                                                                                                                                                                                  |  | -                                                                                           | -       |                |             |               |
| • Congressional Rescissions                                                                                                                                                                                                                                                                                                                          |  | -                                                                                           | -       |                |             |               |
| • Congressional Adds                                                                                                                                                                                                                                                                                                                                 |  | -                                                                                           | -       |                |             |               |
| • Congressional Directed Transfers                                                                                                                                                                                                                                                                                                                   |  | -                                                                                           | -       |                |             |               |
| • Reprogrammings                                                                                                                                                                                                                                                                                                                                     |  | -1.250                                                                                      | -       |                |             |               |
| • SBIR/STTR Transfer                                                                                                                                                                                                                                                                                                                                 |  | -3.942                                                                                      | -       |                |             |               |
| • Adjustments to Budget Years                                                                                                                                                                                                                                                                                                                        |  | 0.000                                                                                       | 0.000   | -7.508         | -           | -7.508        |
| Congressional Add Details (\$ in Millions, and Includes General Reductions)                                                                                                                                                                                                                                                                          |  |                                                                                             |         |                |             |               |
| Project: J13: UNIVERSITY AND INDUSTRY INITIATIVES (CA)                                                                                                                                                                                                                                                                                               |  |                                                                                             |         |                |             |               |
| Congressional Add: Program Increase                                                                                                                                                                                                                                                                                                                  |  |                                                                                             |         |                |             |               |
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| Congressional Add Subtotals for Project: J13                                                                                                                                                                                                                                                                                                         |  |                                                                                             |         |                |             |               |
| Congressional Add Totals for all Projects                                                                                                                                                                                                                                                                                                            |  |                                                                                             |         |                |             |               |
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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                                    |               |         |         |                                                                             | Date: May 2017 |                  |            |
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| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>EA6 / <i>Cyber Collaborative Research Alliance</i> |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                                     | FY 2022        | Cost To Complete | Total Cost |
| EA6: <i>Cyber Collaborative Research Alliance</i>       | -           | 3.106   | 3.281   | 3.338        | -                                                                                                  | 3.338         | 4.886   | 4.982   | 5.082                                                                       | 5.186          | -                | -          |

**A. Mission Description and Budget Item Justification**

This Project fosters research performed through the Cyber Security Collaborative Research Alliance (CSEC CRA), a competitively selected consortium, formed to advance the theoretical foundations of cyber science in the context of Army networks. This CRA consists of academia, industry and government researchers working jointly with the objective of developing a fundamental understanding of cyber phenomena so that fundamental laws, theories, and theoretically grounded and empirically validated models can be applied to a broad range of Army domains, applications, and environments. This research focuses on three interrelated aspects of cyber security and is conducted using a trans-disciplinary approach that takes into account the human element of the network. The three aspects of cyber that are addressed are: 1) vulnerabilities and risks of cyber networks to malicious activities, 2) anticipating, detecting, and analyzing malicious activities, and 3) agile cyber maneuver to thwart and defeat malicious activities. Overarching goals of cyber security are to significantly decrease the adversary's return on investment when considering cyber attack on Army networks, and minimizing the impact on (Army) network performance related to implementing cyber security. The CRA research creates a framework that effectively integrates the knowledge of cyber assets and potential adversary capabilities and approaches, and provides defense mechanisms that dynamically adjust to changes related to mission, assets, vulnerability state, and defense mechanisms.

Work in this Project supports key Army needs and provides the technical underpinnings to Program Element (PE) 0602782A (Command, Control, Communications Technology)/Project H92 (Communications Technology).

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas.

Work in this Project is performed by the Army Research Laboratory (ARL) in Adelphi and Aberdeen Proving Grounds, MD.

**B. Accomplishments/Planned Programs (\$ in Millions)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Cyber Security Collaborative Research Alliance                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 3.106          | 3.281          | 3.338          |
| <b>Description:</b> The CSEC CRA supports basic research to enable capabilities for rapid development and adaptation of cyber tools for dynamically assessing cyber risks, detecting hostile activities on friendly networks, and supporting agile maneuver in cyber space in spite of the continuous evolution and emergence of novel threats.                                                                                                                                                                                        |                |                |                |
| <b>FY 2016 Accomplishments:</b><br>Developed theories and models relating fundamental properties of dynamic cyber threats to dynamic risk assessments and defensive maneuver algorithms; developed a mathematical formalism for representing cyber tasks or missions that will provide a common framework for reasoning about risk, maneuver, detection and the underlying socio-cognitive factors; developed approaches to assessment of aggregate risk in such a dynamic hostile environment; developed diagnosis-enabling detection |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                           | <b>Date:</b> May 2017                                                              |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>EA6 / <i>Cyber Collaborative Research Alliance</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                           | <b>FY 2016</b>                                                                     | <b>FY 2017</b> |
| <p>algorithms that can go from symptoms to root causes; developed and validated computational cognitive models that represent human processes of threat detection; and developed multi-party game-theory etc models and computational algorithms leading to pragmatic defense strategies.</p> <p><b>FY 2017 Plans:</b><br/>Will extend fundamental theories and models of dynamic cyber threats and defense developed in Fiscal Year (FY) 2015 and 2016, leading to practical defense strategies via analytical models of collaborative and composite risk, and appropriate communication of risk metrics; user/defender/attacker feedback models to capture interactions; optimized evidence collection and introspective detection; model-based generation and verification of cyber maneuvers; multi-party stealth games; and extensive validation on realistic data-sets and test-beds.</p> <p><b>FY 2018 Plans:</b><br/>Will develop a science of resilient detection in adversarial settings, leading to models of decision-making under uncertainty. Will develop theories, models and algorithms to execute maneuver at the software, system and network layers. Will research behavioral and game theoretical models to model user-defender-adversary interactions. Will enhance the analytical framework, integrating detection and risk assessment, to provide choices of agility maneuvers that minimize risk. Will experimentally validate the analytical framework on realistic testbeds.</p> |                                                                                                           |                                                                                    |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                           | 3.106                                                                              | 3.281          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           |                                                                                    |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                                                    |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           |                                                                                    |                |
| <b>D. Acquisition Strategy</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           |                                                                                    |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                                                    |                |
| <b>E. Performance Metrics</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                           |                                                                                    |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                                                    |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army       |             |         |         |              |                                                                                                    |               |         |         |                                                                                         | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1                     |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>F17 / <i>Neuroergonomics Collaborative Technology Alliance</i> |                |                  |            |
| COST (\$ in Millions)                                         | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                                                 | FY 2022        | Cost To Complete | Total Cost |
| F17: <i>Neuroergonomics Collaborative Technology Alliance</i> | -           | 5.046   | 5.332   | 4.923        | -                                                                                                  | 4.923         | 4.720   | 4.830   | 4.943                                                                                   | 5.044          | -                | -          |

## A. Mission Description and Budget Item Justification

This Project fosters research through the Cognition and Neuroergonomics Collaborative Technology Alliance (CTA), a competitively selected industry and university consortium, to leverage world-class research in support of future force and Army transformation needs. Escalating levels of complexity and uncertainty on the current and future battlefield present conditions which have never existed before now. Solution strategies and approaches must be developed or tailored. The emerging field of neuroergonomics, which seeks to understand the brain at work and to leverage that understanding to optimize system design, offers tremendous potential for providing the solutions needed to meet the needs of Army forces in the future. This CTA addresses the solution strategies and approaches needed to design systems to fully exploit investments in revolutionary technological advances in areas such as robotics, microelectronics, and computer and network information systems. These technologies present significant opportunities to enhance Army mission capabilities, but impose significant burdens on the human brain, which will ultimately limit Soldier-system effectiveness, sustainability, and survivability. The technical barriers associated with this project include: immature knowledge base to guide the neuroergonomic approach to human-system integration; inadequate capabilities to sense and extract information about brain activity in dynamic, operational environments; lack of valid measures to robustly and uniquely characterize operationally-relevant cognitive performance; lack of techniques for integrating advanced understandings of brain activity into systems designs, including real-time use of measures of cognitive behavior as system inputs and the capability to account for individual differences in maximizing Soldier-system performance. This CTA conducts an intensive and accelerated program to formulate, validate, and transition basic research findings through multi-dimensional approaches focused in three areas: understanding fundamental principles underlying Soldier neurocognitive performance in operational environments, advancing computational approaches for the analysis and interpretation of neural functioning, and fundamental advancement in neurotechnologies that enhance Soldier-system interactions and performance.

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed by the Army Research Laboratory (ARL) in Adelphi, MD.

## B. Accomplishments/Planned Programs (\$ in Millions)

|                                                                                                                                                             | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Neurocognitive performance in operational environments                                                                                        | 1.941          | 1.970          | 1.821          |
| <b>Description:</b> This effort is intended to understand fundamental principles underlying Soldier neurocognitive performance in operational environments. |                |                |                |
| <b>FY 2016 Accomplishments:</b>                                                                                                                             |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                 |  |                                                                                                           | <b>Date:</b> May 2017 |                                                                                                |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                   |  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> |                       | <b>Project (Number/Name)</b><br>F17 / <i>Neuroergonomics Collaborative Technology Alliance</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                        |  |                                                                                                           | <b>FY 2016</b>        | <b>FY 2017</b>                                                                                 | <b>FY 2018</b> |
| Developed novel set of algorithmic principles and approaches for integrating multiple, concurrently record data streams to enable interpretation and use of brain-based recordings in complex conditions; and enhanced estimates of confidence in environmental and human states for improved reliability of sensor information.                                                   |  |                                                                                                           |                       |                                                                                                |                |
| <b>FY 2017 Plans:</b><br>Will develop models of neural activity to characterize performance in Army-relevant tasks; and investigate relationships between brain activity recorded on the scalp and brain activity recorded within the skull to improve understanding of how the skull and scalp affect recorded brain signals.                                                     |  |                                                                                                           |                       |                                                                                                |                |
| <b>FY 2018 Plans:</b><br>Will utilize behavioral, physiological, and neural measures to explore emotional state and the emotional tone of interpersonal communication; will develop novel methods for improved trust and successful communication between vehicle operators, passengers, and autonomous agents based on emotional state                                            |  |                                                                                                           |                       |                                                                                                |                |
| <b>Title:</b> Computational neural analysis<br><b>Description:</b> This effort advances computational approaches for the analysis and interpretation of neural functioning.                                                                                                                                                                                                        |  |                                                                                                           | 1.438                 | 1.622                                                                                          | 1.477          |
| <b>FY 2016 Accomplishments:</b><br>Developed algorithms that use adaptive approaches to account for the gradual changes in the mean and variance of the underlying neural signatures that occur when participants perform the same task for an extended period of time. Adapting to these time-on-task effects increased the performance of brain computer interaction technology. |  |                                                                                                           |                       |                                                                                                |                |
| <b>FY 2017 Plans:</b><br>Will develop algorithms for reliable comparisons between simple experimental tasks and operationally-relevant tasks; and develop analytical methods for automated characterization of within-subject, cognitive state fluctuations during long-term task performance.                                                                                     |  |                                                                                                           |                       |                                                                                                |                |
| <b>FY 2018 Plans:</b><br>Will develop experimental paradigms and computational techniques to understand the brain circuits underlying shifts between decision-making and task-related actions; will develop novel methods for identifying changes in the statistics of the operational environment, task constraints, and arousal level.                                           |  |                                                                                                           |                       |                                                                                                |                |
| <b>Title:</b> Neurotechnologies<br><b>Description:</b> This effort provides a fundamental advancement in neurotechnologies that enhance Soldier-system interactions and performance.                                                                                                                                                                                               |  |                                                                                                           | 1.667                 | 1.740                                                                                          | 1.625          |
| <b>FY 2016 Accomplishments:</b>                                                                                                                                                                                                                                                                                                                                                    |  |                                                                                                           |                       |                                                                                                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           | <b>Date:</b> May 2017                                                                          |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>F17 / <i>Neuroergonomics Collaborative Technology Alliance</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                           | <b>FY 2016</b>                                                                                 | <b>FY 2017</b> |
| <p>Developed experimental mobile applications to monitor and track real-world fluctuations in sleep patterns and perceived levels of stress and fatigue in order to examine how these behavioral variations effect neural data; and developed novel big data mining methods to unite data on this effort that are collected at different research centers.</p> <p><b>FY 2017 Plans:</b><br/>Will investigate performance of dry electrode systems in high noise conditions inherent to real-world tasks for applications in mobile environments; and develop a combined hardware-software solution for mitigation of noise in the signal for enhanced interpretation of brain data.</p> <p><b>FY 2018 Plans:</b><br/>Will develop computational frameworks and systems for asynchronous brain-computer communication; Will identify, separate, and interpret brain activity during naturally occurring periods of stable eye position in both seated and ambulatory environments.</p> |                                                                                                           |                                                                                                |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                           | 5.046                                                                                          | 4.923          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b><br>N/A<br><b>Remarks</b><br><br><b>D. Acquisition Strategy</b><br>N/A<br><br><b>E. Performance Metrics</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           |                                                                                                |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                             |               |         |         |                                                                                  | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry Research Centers |               |         |         | Project (Number/Name)<br>FF5 / Distributed Collaborative Intelligent Systems CTA |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                 | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                                          | FY 2022        | Cost To Complete | Total Cost |
| FF5: Distributed Collaborative Intelligent Systems CTA  | -           | 0.000   | 0.000   | 4.178        | -                                                                                           | 4.178         | 5.820   | 6.131   | 6.295                                                                            | 6.436          | -                | -          |

A. Mission Description and Budget Item Justification

This project fosters basic research through the highly Distributed and Collaborative Intelligent Systems and Technology (DCIST) Collaborative Technology Alliance (CTA), a competitively selected university consortium which leverages world-class research necessary to address future force and Army Transformation needs. The CTA links a broad range of government technology agencies, as well as industrial and academic partners with the Army Research Laboratory (ARL). The DCIST CTA focuses on systems with a large number of heterogeneous intelligent agents, including Soldiers that can be distributed over large areas and are required to move through contested environments and against peer capabilities at op-tempo. To meet these goals innovative research is performed in three main technical areas: distributed intelligence, large heterogeneous group control, and adaptive and resilient behaviors. The payoff to the warfighter will be extended reach, situational awareness, and operational effectiveness against dynamic threats in contested environments, and technical and operational superiority through intelligent, resilient and collaborative behaviors of Soldiers and intelligent systems. The CTA facilitates the exchange of people among the collaborating organizations to provide cross-organizational perspectives on basic research challenges, and to make available to the Alliance state-of-the-art facilities and equipment at the participating organizations.

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this project is performed by the ARL in Adelphi, MD.

|                                                                                                                                                                                                                                                                                                    |         |         |         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|---------|
| B. Accomplishments/Planned Programs (\$ in Millions)                                                                                                                                                                                                                                               | FY 2016 | FY 2017 | FY 2018 |
| <b>Title:</b> Distributed Collaborative Intelligent Systems Technology                                                                                                                                                                                                                             | -       | -       | 4.178   |
| <b>Description:</b> Extend reach, situational awareness, and operational effectiveness against dynamic threats in contested environments through intelligent, resilient and collaborative behaviors of heterogeneous teams of Soldiers, intelligent systems, smart sensors, and knowledge sources. |         |         |         |
| <b>FY 2018 Plans:</b><br>Will explore and develop the underpinning science and technology for highly distributed and collaborative intelligent systems along technical areas to include distributed intelligence, large heterogeneous group control, and adaptive and resilient behaviors.         |         |         |         |
| Accomplishments/Planned Programs Subtotals                                                                                                                                                                                                                                                         | -       | -       | 4.178   |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army  |                                                                                                    | Date: May 2017                                                                          |
| Appropriation/Budget Activity<br>2040 / 1                | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> | Project (Number/Name)<br>FF5 / <i>Distributed Collaborative Intelligent Systems CTA</i> |
| C. Other Program Funding Summary (\$ in Millions)<br>N/A |                                                                                                    |                                                                                         |
| Remarks                                                  |                                                                                                    |                                                                                         |
| D. Acquisition Strategy<br>N/A                           |                                                                                                    |                                                                                         |
| E. Performance Metrics<br>N/A                            |                                                                                                    |                                                                                         |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |                |         |         |                 |                                                                                                |                  |         |         |                                                                   | Date: May 2017 |                     |               |
| Appropriation/Budget Activity<br>2040 / 1               |                |         |         |                 | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry<br>Research Centers |                  |         |         | Project (Number/Name)<br>FF7 / Internet of Battlefield Things CTA |                |                     |               |
| COST (\$ in Millions)                                   | Prior<br>Years | FY 2016 | FY 2017 | FY 2018<br>Base | FY 2018<br>OCO                                                                                 | FY 2018<br>Total | FY 2019 | FY 2020 | FY 2021                                                           | FY 2022        | Cost To<br>Complete | Total<br>Cost |
| FF7: Internet of Battlefield<br>Things CTA              | -              | 0.000   | 0.000   | 3.068           | -                                                                                              | 3.068            | 4.179   | 6.020   | 6.084                                                             | 6.175          | -                   | -             |

A. Mission Description and Budget Item Justification

This Project will foster research performed through the Internet of Battlefield Things Collaborative Research Alliance (IoBT CRA), a competitively selected consortium formed to advance the theoretical foundations of the Internet of Things in the context of Army Operations. The CRA will comprise academia, industry and government researchers working jointly with the objective of developing a fundamental understanding of phenomena of Internet of Things and cyber-physical systems in tactically relevant environments. The CRA will facilitate collaboration across organizations to provide multi-disciplinary perspectives on basic research challenges, as well as the use of state-of-the-art facilities and equipment at the participating organizations. This research focuses on three interrelated aspects of pervasive and converged cyber-physical complex information systems and is conducted using a trans-disciplinary approach that takes into account the information-theoretic and human elements of Army IoBT interactions. The three aspects of the emergent Internet of Battlefield Things topical areas addressed are: 1) dynamic discovery and adaptation of cyber-physical devices, networks, and information sources, 2) resilient re-purposing and re-tasking of devices and information capabilities, and 3) algorithmic, distributed and centralized information-stream processing. Overarching goals of the basic research on Army IoBT are to investigate foundational cross-cutting theories and methods leading towards a science of heterogeneous, self-adapting, complex cyber-physical systems. This research will lead to optimized real-time adversarial situation estimates in information-enabled warfare and greatly enhance the speed and precision for complex military operations involving converged sensing, communications, and resilient actuation.

Work in this Project builds fundamental knowledge for and accelerates the transition of communications and networks technology to Program Element (PE) 0602783A (Computer and Software Technology).

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed by the ARL in Adelphi, MD.

B. Accomplishments/Planned Programs (\$ in Millions)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |         |         |         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|---------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | FY 2016 | FY 2017 | FY 2018 |
| Title: Internet of Battlefield Things Collaborative Research Alliance (IoBT CRA)                                                                                                                                                                                                                                                                                                                                                                                                                     | -       | -       | 3.068   |
| Description: The IoBT CRA seeks to gain fundamental understanding of IoT phenomena and its performance in tactical environments, ranging from sparse, remote settings to complex, dense urban environments. To enable an IoBT capability, research needs to address intelligent resourcing and influence in complex, constrained and uncertain networks (demand from massive numbers of dynamically connected devices, limited and unpredictable connectivity, shared civilian networks, computation |         |         |         |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                           | <b>Date:</b> May 2017                                                           |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>FF7 / <i>Internet of Battlefield Things CTA</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                           | <b>FY 2016</b>                                                                  | <b>FY 2017</b> |
| <p>at or near the device), heterogeneous sensing and actuation devices (efficient, smart devices with self-organizing/preservation/directing capabilities), and variable, and unreliable provenance and dynamisms of information and device signals.</p> <p><b><i>FY 2018 Plans:</i></b><br/> Will competitively select a consortium consisting of academia, industry and government researchers; will investigate new theories for complex system effects that can be applied to dynamic, heterogeneous, adaptive systems-of-systems where the boundaries of control extend beyond personal, organizational, and political borders; will explore universal theoretical principles that span the multiple levels at which self-configuring and resilient systems can exist—from systems to enterprises; e.g., formalisms to support diverse nonlinear emergent system behaviors; will investigate methods for determining how to incorporate human behavior models into the formal methodology of feedback and just-in-time control; and will study theoretical foundations for information, leading to an understanding of tradeoffs (amount of information collected, opportunity for tampering, resource consumption, latency, etc.) and thus predictive resource allocation (sensing, computing, communications, etc.) taking into account risk and uncertainty</p> |                                                                                                           |                                                                                 |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                           | -                                                                               | 3.068          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b><br>N/A<br><b>Remarks</b><br><br><b>D. Acquisition Strategy</b><br>N/A<br><b>E. Performance Metrics</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                           |                                                                                 |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                                    |               |         |         |                                                        | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>H04 / <i>HBCU/MI Programs</i> |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                | FY 2022        | Cost To Complete | Total Cost |
| H04: <i>HBCU/MI Programs</i>                            | -           | 1.812   | 1.486   | 1.536        | -                                                                                                  | 1.536         | 1.591   | 1.629   | 1.671                                                  | 1.704          | -                | -          |

## A. Mission Description and Budget Item Justification

This Project supports basic research through the Partnership in Research Transition (PIRT) program, the Army's research initiative focused on partnerships with Historically Black Colleges and Universities and Minority Institutions (HBCU/MI), and provides support to Department of Defense (DoD) HBCU/MI program providing support for research and collaboration with DoD facilities and personnel for research and collaboration with DoD facilities and personnel. The focus of this effort is to enhance programs and capabilities of high-interest scientific and engineering disciplines through innovative research performed: 1) at Centers of Excellence (CoE) established at HBCU/MIs, and 2) in collaboration with Collaborative Technology Alliances and Collaborative Research Alliances (CTA/CRAs). The COEs and CTA/CRAs work with Army, industry, and other academic partners to transition research to technology demonstration. In addition, the CoEs and CTA/CRA partnerships provide opportunities to recruit, educate, and train outstanding students and post-doctoral researchers in science and technology areas relevant to the Army.

Work in this Project is fully coordinated with the Office of the Secretary of Defense (OSD) program manager for HBCU/MI programs.

Work performed in this Project supports key Army needs and is coordinated with one or more of the following Projects: 0601104A (University and Industry Research Center)/Project EA6 (Cyber CRA), /Project F17 (Neuroergonomics CTA), /Project H09 (Robotics CTA), /Project H50 (Network Sciences CTA), Micro Autonomous Systems Technology CTA, and /Project VS2 (Multiscale Modeling of Materials).

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas.

Work on this Project is performed by the Army Research Laboratory (ARL) in Adelphi, MD.

## B. Accomplishments/Planned Programs (\$ in Millions)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Centers of Excellence for Battlefield Capability Enhancements (BCE)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 1.812          | 1.486          | 1.536          |
| <b>Description:</b> Five new Partnership in Research Transition (PIRT) Centers of Excellence were established in 2011 at: Hampton Univ. (Lower Atmospheric Research Using Light Detection and Ranging (Lidar) Remote Sensing); NCA&T State Univ. (Nano to Continuum Multi-Scale Modeling Techniques and Analysis for Cementitious Materials Under Dynamic Loading); Delaware State Univ. (Center for Advanced Algorithms); Howard Univ.(2) (Bayesian Imaging and Advanced Signal Processing for Landmine and Improvised Explosive Device (IED) Detection Using Ground Penetrating Radar (GPR), and Extracting Social Meaning From Linguistic Structures in African Languages). These Centers were selected to: enhance programs and capabilities through Army-relevant, topic-focused, near-transition-ready innovative research; strengthen the capacity of the HBCUs to provide excellence in education; and to conduct research critical to the national security functions of the DoD. |                |                |                |
| <b>FY 2016 Accomplishments:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                           | <b>Date:</b> May 2017                                         |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>H04 / <i>HBCU/MI Programs</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                           | <b>FY 2016</b>                                                | <b>FY 2017</b> |
| <p>Concluded support of research at the five PIRT Centers of Excellence; and continued research investigations with HBCU/MIs universities, through follow-on activity with PIRT Centers that enabled research/technology transition or funded new high interest research with HBCU/MIs through single-investigator efforts, new centers of excellence, or other grant or cooperative research mechanisms.</p> <p><b>FY 2017 Plans:</b><br/>Will conduct new research efforts with HBCU/MIs through ARL's CTA/CRA's. Projects will be within the scope of CTA/CRA's and will represent opportunities to pursue new, high quality research in areas of strategic importance to the Army. Areas of research will include: network science, cognition and neuroergonomics, multiscale modeling of materials, robotics, and/or cyber security.</p> <p><b>FY 2018 Plans:</b><br/>Will continue to conduct research with HBCU/MIs begun in FY17 and performed in collaboration with ARL's CTA/CRA's. Projects are within the scope of CTA/CRA's and will pursue high quality, collaborative research in areas of strategic importance to the Army. Areas of research will include: network science, cognition and neuroergonomics, multiscale modeling of materials, robotics, and/or cyber security.</p> |                                                                                                           |                                                               |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                           | 1.812                                                         | 1.486          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                           |                                                               |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                           |                                                               |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                           |                                                               |                |
| <b>D. Acquisition Strategy</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                           |                                                               |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                           |                                                               |                |
| <b>E. Performance Metrics</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                           |                                                               |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                           |                                                               |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                                    |               |         |         |                                                                                   | Date: May 2017 |                  |            |
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| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>H05 / <i>Institute For Collaborative Biotechnologies</i> |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                                           | FY 2022        | Cost To Complete | Total Cost |
| H05: <i>Institute For Collaborative Biotechnologies</i> | -           | 6.228   | 6.595   | 5.999        | -                                                                                                  | 5.999         | 5.999   | 5.998   | 5.997                                                                             | 6.150          | -                | -          |

**A. Mission Description and Budget Item Justification**

This Project supports research at the Army's Institute for Collaborative Biotechnologies (ICB), led by the University of California-Santa Barbara, and two major supporting partners, the California Institute of Technology and the Massachusetts Institute of Technology. The ICB was established as a University Affiliated Research Center (UARC) to support leveraging biotechnology for: advanced sensors; new electronic, magnetic, and optical materials; and information processing and bioinspired network analysis. The objective is to perform sustained multidisciplinary basic research supporting technology to provide the Army with biomolecular sensor platforms with unprecedented sensitivity, reliability, and durability; higher-order arrays of functional electronic and optoelectronic components capable of self-assembly and with multi-functions; and new biological means to process, integrate, and network information. These sensor platforms will incorporate proteomics (large scale study of proteins) technology, Deoxyribonucleic Acid (DNA) sequence identification and detection tools, and the capability for recognition of viral pathogens. A second ICB objective is to educate and train outstanding students and post-doctoral researchers in revolutionary areas of science to support Army Transformation. The ICB has many industrial partners, such as International Business Machine (IBM) and Science Applications International Corporation (SAIC), and has strong collaborations with Argonne, Lawrence Berkley, Lawrence Livermore, Los Alamos, Oak Ridge, and Sandia National Laboratories, the Army's Institute for Soldier Nanotechnologies, the Institute for Creative Technologies, and Army Medical Research and Materiel Command (MRMC) laboratories.

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed extramurally by the Army Research Laboratory (ARL) in Adelphi, MD.

**B. Accomplishments/Planned Programs (\$ in Millions)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Institute for Collaborative Biotechnologies                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 5.544          | 5.872          | 5.342          |
| <b>Description:</b> Perform sustained multidisciplinary basic research supporting technology to provide the Army with bio-inspired materials and biomolecular sensor platforms.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                |                |                |
| <b>FY 2016 Accomplishments:</b><br>Assessed bacterial viability using ultra-high precision mass sensing for enhancement in Soldier protection against bacterial pathogens; experimentally engineered controlled biofeedback capability within cells to regulate cellular metabolic pathways and provide a basis for biosensing and environmental remediation; experimentally engineered scalable biological circuits in yeast cells that can provide sense-and-respond capabilities against harmful chemical and biological agents; experimentally designed and synthesized soft, hydrogel microparticles and characterized their properties as cell mimics in vascular networks as a potential vehicle for drug delivery; showed how the hierarchical and anisotropic structure of trabecular bone leads to its mechanical |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |  |                                                                                                           | <b>Date:</b> May 2017 |                                                                                          |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> |                       | <b>Project (Number/Name)</b><br>H05 / <i>Institute For Collaborative Biotechnologies</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |  |                                                                                                           | <b>FY 2016</b>        | <b>FY 2017</b>                                                                           | <b>FY 2018</b> |
| <p>properties and translated such understanding to the fabrication of artificial bone; elucidated and translated mechanisms of biological, hierarchical self-assembly to synthetic, stimuli-responsive, optoelectronic materials that can provide responsive antireflective capabilities for the Soldier; experimentally tested the ability of modified bacterial genes to enhance electron transfer within bacteria toward a novel means of energy generation; and using bio-inspired models, understood how shape, optical anisotropy and quasi-ordering at the nano-scale allow for control of the broad-band optical response of material interfaces toward improvements in infrared detection.</p> <p><b>FY 2017 Plans:</b><br/>Will conduct basic research efforts in systems and synthetic biology, photonic and electronic materials, cellular structural materials, and biotechnology tools; and increase research efforts in understanding and engineering microbial consortia for potential biological processing and manufacturing. Understanding microbial consortia and engineering them for biological processing/manufacture could provide the Army with the ability to produce complex chemical intermediates/feed stocks for material synthesis, bioremediation of toxic materials in the environment, probiotics for enhanced Soldier health/performance, waste mitigation, and novel routes to energy generation for reduced logistics loads.</p> <p><b>FY 2018 Plans:</b><br/>Will continue to support basic research efforts in synthetic and systems biology, biotechnology tools, and designing microbial consortia. Cellular structural materials, and photonic and electronic materials projects will be combined into new bio-inspired materials effort. On-going research efforts will include bio-inspired optical and photonic materials for potential applications in controlling infrared response and improved energy conversion and storage; novel nanomaterial platform for in situ biomarker detection; and engineering microbial consortia for bio-production.</p> |  |                                                                                                           |                       |                                                                                          |                |
| <p><b>Title:</b> Neuroscience</p> <p><b>Description:</b> Perform multidisciplinary basic research in the area of neuroscience.</p> <p><b>FY 2016 Accomplishments:</b><br/>Investigated the potential of multi-brain computing and electroencephalogram (EEG) to better understand group decision making, to predict the outcome of future human group decisions in complex tasks, and to track collective cognitive and emotional responses when presented with a common visual stimulus; investigated whether neural markers can be used to indicate biases that may affect optimal decision-making; assessed the variable influences of physical fatigue on cognition and on decisions that require complex motor behavior; and developed an understanding of the effects of stress on cognition and adaptive decision-making on the neural level toward a characterization of the interaction between decision-making and attentional mechanisms.</p> <p><b>FY 2017 Plans:</b><br/>Will continue supporting basic cognitive neuroscience research efforts to better understand decision-making, the effect of fatigue on cognition, and identification of neural indicators/biomarkers for optimal decision making; understand how the brain achieves</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |                                                                                                           | 0.684                 | 0.723                                                                                    | 0.657          |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           | <b>Date:</b> May 2017                                                                    |                |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>H05 / <i>Institute For Collaborative Biotechnologies</i> |                |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b><br>accurate classification under high stress; and develop neuro-engineering techniques to make inferences about human's cognitive and attentional states that are particularly relevant to challenges faced by the Soldier.<br><br><b><i>FY 2018 Plans:</i></b><br>Will continue to support basic cognitive neuroscience research efforts to better understand the effect of fatigue and stress on cognition and on decision-making, and identification of neural indicators/biomarkers for optimal decision-making; and will develop neuro-engineering techniques to make inferences about a human's cognitive and attentional states that are particularly relevant to challenges faced by the Soldier. |                                                                                                           | <b>FY 2016</b>                                                                           | <b>FY 2017</b> | <b>FY 2018</b> |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                           |                                                                                          |                |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                           | 6.228                                                                                    | 6.595          | 5.999          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b><br>N/A<br><br><b>Remarks</b><br><br><b>D. Acquisition Strategy</b><br>N/A<br><br><b>E. Performance Metrics</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                           |                                                                                          |                |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                                    |               |         |         |                                                    | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>H09 / <i>Robotics CTA</i> |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                            | FY 2022        | Cost To Complete | Total Cost |
| H09: <i>Robotics CTA</i>                                | -           | 4.587   | 4.040   | 4.136        | -                                                                                                  | 4.136         | 4.240   | 2.957   | 3.076                                              | 3.139          | -                | -          |

## **A. Mission Description and Budget Item Justification**

This Project supports a collaborative effort between the competitively selected industry and university consortium, the Robotics Collaborative Technology Alliance (CTA), and the Army Research Laboratory (ARL) for the purpose of leveraging world-class research in support of the future force and Army transformation needs. This project conducts basic research in areas that will expand the capabilities of intelligent mobile robotic systems for military applications with a focus on enhanced, innate intelligence, ultimately approaching that of a dog or other intelligent animal, to permit unmanned systems to function as productive members of a military team. Research is conducted in machine perception, including the exploration of sensor phenomenology, and the investigation of basic machine vision algorithms enabling future unmanned systems to better understand their local environment for enhanced mobility and tactical performance; intelligent control, including the advancement of artificial intelligence techniques for robot behaviors permitting future systems to autonomously adapt, and alter their behavior to dynamic tactical situations; understanding the interaction of humans with machines focusing upon intuitive control by Soldiers to minimize cognitive burden; dexterous manipulation of the environment by unmanned systems; and unique modes of mobility to enable unmanned systems to seamlessly navigate complex or highly constrained three dimensional environments. The program will conduct both analytic and validation studies.

Work in this Project builds fundamental knowledge for and complements the companion applied technology program, Program Element (PE) 0602120A, Project TS2 (Robotics).

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed by the Army Research Laboratory (ARL) at the Aberdeen Proving Ground, MD.

## **B. Accomplishments/Planned Programs (\$ in Millions)**

|                                                                                                                                                                                                                                                                                                              | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Autonomous Systems                                                                                                                                                                                                                                                                             | 4.587          | 4.040          | 4.136          |
| <b>Description:</b> Explore opportunities enabling revolutionary, autonomous, and highly mobile systems for the future force. Research focuses on unmanned systems operating as a team with human supervisors and displaying a high degree of adaptability to dynamic environmental and tactical situations. |                |                |                |
| <b>FY 2016 Accomplishments:</b><br>Explored concepts and created algorithms to enable “peer-to-peer” teaming between humans and robots focused upon a flexible                                                                                                                                               |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                           | <b>Date:</b> May 2017                                     |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>H09 / <i>Robotics CTA</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           | <b>FY 2016</b>                                            | <b>FY 2017</b> |
| <p>multi-agent teaming architecture, problem solving at a cognitive level, and dialog to engender trust; examined mechanisms for creating social and tactical “understanding” and fast, adaptive, on-line, and on-the-fly learning and interaction with complex 3D environments.</p> <p><b>FY 2017 Plans:</b><br/>Will develop “peer-to-peer” teaming between humans and robots through expanded fine grained semantic perception especially through the inclusion of contextual information, exploration of deep-learning techniques and techniques for learning based upon sparse data, modeling of basic human behaviors, and exploration of techniques for energy efficient mobility in complex environments.</p> <p><b>FY 2018 Plans:</b><br/>Will research the algorithmic infrastructure necessary to enable peer-to-peer teaming through intuitive mechanisms, e.g., communication of perceptual information and intelligent machine behaviors through language. Will explore methods to generalize machine intelligence for adaptation to new situations.</p> |                                                                                                           |                                                           |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                           | 4.587                                                     | 4.040          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                           |                                                           |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           |                                                           |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                           |                |
| <b>D. Acquisition Strategy</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                           |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           |                                                           |                |
| <b>E. Performance Metrics</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                           |                                                           |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           |                                                           |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                                    |               |         |         |                                                            | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>H50 / <i>Network Sciences Cta</i> |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                    | FY 2022        | Cost To Complete | Total Cost |
| H50: <i>Network Sciences Cta</i>                        | -           | 10.627  | 9.166   | 6.466        | -                                                                                                  | 6.466         | 5.828   | 0.000   | 0.000                                                      | 0.000          | -                | -          |

## Note

The Mobile Network Modeling Institute moves to in-house basic research in Fiscal Year (FY) 2018 under Program Element (PE) 0601102A (Defense Research Sciences) \ H48 (Battlespace Info & Comm Rsc).

## A. Mission Description and Budget Item Justification

This Project supports a competitively selected university and industry consortium, the Network Sciences Collaborative Technology Alliance (NS CTA), formed to leverage commercial research investments to provide solutions to Army's requirements for robust, survivable, and highly mobile wireless communications networks, while meeting the Army's needs for a state-of-the-art wireless mobile communications networks for command-on-the-move. The NS CTA performs foundational, cross-cutting network science research leading to: a fundamental understanding of the interplay and common underlying science among social/cognitive, information, and communications networks; determination of how processes and parameters in one network affect and are affected by those in other networks; and prediction and control of the individual and composite behavior of these complex interacting networks. This research will lead to optimized human performance in network-enabled warfare and greatly enhanced speed and precision for complex military operations. The CTA facilitates the exchange of people among the collaborating organizations to provide cross-organizational perspectives on basic research challenges, as well as the use of state-of-the-art facilities and equipment at the participating organizations. Many of the results of the NS CTA provide a foundation for a new Collaborative Research Alliance for the Internet of Battlefield Things to begin in FY18.

Work in this Project builds fundamental knowledge for and accelerates the transition of communications and networks technology to PE 0602783A (Computer and Software Technology).

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed by the Army Research Laboratory (ARL) in Adelphi, MD.

## B. Accomplishments/Planned Programs (\$ in Millions)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Network Sciences Collaborative Technology Alliance (NS CTA)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 9.609          | 8.133          | 6.466          |
| <b>Description:</b> The Network Sciences CTA focuses on four major research areas: Information Networks, Communication Networks, Social/Cognitive Networks, and Interdisciplinary Research to develop a fundamental understanding of the ways that information, social/cognitive, and communications networks can be designed, composed, and controlled to dramatically increase mission effectiveness and ultimately enable humans to effectively exploit information for timely decision-making. Information Networks research develops the fundamental understanding of autonomous network activities and its linkage to the physical and human domains as related to human decision making within the networked command and control (C2) structure. Social/Cognitive |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |                                                                                                           | <b>Date:</b> May 2017 |                                                                   |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> |                       | <b>Project (Number/Name)</b><br>H50 / <i>Network Sciences Cta</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |                                                                                                           | <b>FY 2016</b>        | <b>FY 2017</b>                                                    | <b>FY 2018</b> |
| <p>Networks research is developing the fundamental understanding of the interplay of the various aspects of the social and cognitive networks with information and communications. Communications Networks research is developing the foundational techniques to model, analyze, predict, and control the behavior of secure tactical communication networks as an enabler for information and C2 networks. Integration is focused on achieving an integrated Information Networks, Social/Cognitive Networks, Communications Networks research program that significantly enhances the fundamental understanding of the underlying science of networks.</p> <p><b>FY 2016 Accomplishments:</b><br/>           Developed an analytical framework for modeling the dynamics and evolution of interacting multi-genre networks, such as interacting communications, information, and socio-cognitive network components of a tactical network (this will lead to new models for group-to-group interactions and algorithms and performance metrics for discovering unusual patterns); developed approaches for controlling networks with time-varying structures; developed a foundational science to model, characterize and control information delivered through multi-genre networks (based on the semantics and context of information requests and requisite composite quality-of-information measures); developed fundamental understanding of how to transform data and observations from multi-genre networks into relevant situational understanding for the users in a highly constrained environment; and developed mathematical and computational models of human networks, leading to models for influencing individuals and communities within and between cultures.</p> <p><b>FY 2017 Plans:</b><br/>           Will model dynamics and co-evolution of inter-genre networks and discovery, inference, and prediction in inter-genre networks; generate models for optimal design and decentralized control of time-varying, non-linear, composite networks; derive algorithms for context-aware knowledge synthesis and analytics over multi-genre (communications, information and socio-cognitive) networks that model uncertainty in distributed processing and user interactions for better situational understanding; create a unifying semantic framework, in the context of multi-genre needs, to address information capacity across multi-genre networks, and to characterize and control the trade-offs in semantic information delivery; and generate predictive models of social-cognitive aspects of multi-genre networks, and mechanisms for influencing networks across cultures, and augmentation of human performance in networked operations.</p> <p><b>FY 2018 Plans:</b><br/>           Will explore game-theoretic and dynamic programming formulations for network redesign under adversarial dynamics by characterized and establishing conditions for pure and mixed equilibria and formulating algorithms that trades-off current optimality for long-term behavior; will develop a theory of reliable real-time social sensing for information extraction by constructing models of social media as noisy communication channels, establishing fundamental bounds on accuracy, and developing real-time algorithms for reliable information extraction; will obtain insights on the co-evolution of opinion diffusion and social networks by developing theoretical models of opinion diffusion in dynamic social networks and the impact of cultural and structural properties.</p> |  |                                                                                                           |                       |                                                                   |                |
| <b>Title:</b> Mobile Network Modeling Institute                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |                                                                                                           | 1.018                 | 1.033                                                             | -              |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                           | <b>Date:</b> May 2017                                             |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>H50 / <i>Network Sciences Cta</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           | <b>FY 2016</b>                                                    | <b>FY 2017</b> |
| <p><b>Description:</b> This research focuses on novel computational models, data structures, computational architectures and techniques that enable predictions of performance and stability of large, complex communications networks. It takes into account the impact of Soldiers' information needs and modalities of access and use of communication networks in complex adversarial environments, high mobility, and adversarial effects such as jamming or cyber-attacks. Also considered are computational modeling approaches that capture dynamics of information that flows through the network and/or is stored within the network, and undergoes continual changes as new information arrives and other information ages or is refuted/superseded by newly arrived information; and the impact of clouds and local tactical cloudlets on network behaviors. In FY18, the funding for this research is in project 0601102A\H48.</p> <p><b>FY 2016 Accomplishments:</b><br/>Develop high-fidelity scalable live-virtual simulation/emulation methods for large-scale networks on emerging large-scale high performance computing architectures; investigate uncertainty quantification methods to evaluate and improve highly dynamic live-virtual network modeling; and develop new validation mathematical methods and investigate how these methods can assist in training communication systems for Soldiers.</p> <p><b>FY 2017 Plans:</b><br/>Will validate high-fidelity scalable simulation methods for large-scale networks on emerging large-scale high performance computing architectures; use large-scale network experiments to observe and identify atypical behaviors with unknown ramifications; document methods for quantifying uncertainty (for large-scale networking modeling); and derive new mathematical algorithms on emerging heterogeneous computing that can assist in training communication systems for Soldiers.</p> |                                                                                                           |                                                                   |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           | 10.627                                                            | 9.166          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                           |                                                                   |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           |                                                                   |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                           |                                                                   |                |
| <b>D. Acquisition Strategy</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                           |                                                                   |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           |                                                                   |                |
| <b>E. Performance Metrics</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                           |                                                                   |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           |                                                                   |                |

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|---------|---------|--------------|---------------------------------------------------------------------------------------------|---------------|---------|---------|--------------------------------------------------------------------------------|----------------|------------------|------------|
| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |             |         |         |              |                                                                                             |               |         |         |                                                                                | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry Research Centers |               |         |         | Project (Number/Name)<br>H53 / Army High Performance Computing Research Center |                |                  |            |
| COST (\$ in Millions)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                 | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                                        | FY 2022        | Cost To Complete | Total Cost |
| H53: Army High Performance Computing Research Center                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | -           | 5.434   | 4.404   | 0.000        | -                                                                                           | 0.000         | 0.000   | 0.000   | 0.000                                                                          | 0.000          | -                | -          |
| A. Mission Description and Budget Item Justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |             |         |         |              |                                                                                             |               |         |         |                                                                                |                |                  |            |
| This Project supports critical research at the Army High Performance Computing Research Center (AHPCRC). Research at the AHPCRC is focused on the Lightweight Combat Systems Survivability, computational nano- and bio-sciences, computational battlefield network and information sciences including evaluating materials suitable for armor/anti-armor and sensor applications, defense from chemical and biological agents, and associated enabling technologies requiring computationally intensive algorithms in the areas of combat systems survivability, battlefield network sciences, chemical and biological defense, nanoscience and nanomechanics, and computational information sciences, scientific visualization enabling technologies that support the future force transition path. This program ends in Fiscal Year (FY) 17. |             |         |         |              |                                                                                             |               |         |         |                                                                                |                |                  |            |
| The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |             |         |         |              |                                                                                             |               |         |         |                                                                                |                |                  |            |
| Work in this Project is performed by the Army Research Laboratory (ARL) in Adelphi, MD.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |             |         |         |              |                                                                                             |               |         |         |                                                                                |                |                  |            |
| B. Accomplishments/Planned Programs (\$ in Millions)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |             |         |         |              |                                                                                             |               |         |         |                                                                                | FY 2016        | FY 2017          | FY 2018    |
| Title: Army High Performance Computing Research Center (AHPCRC)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |             |         |         |              |                                                                                             |               |         |         |                                                                                | 5.434          | 4.404            | -          |
| Description: The AHPCRC research mission is to advance computational science and its application to critical Army technologies through an Army-university-industry collaborative research program in such areas as combat systems survivability, and chemical and biological defense. The cooperative agreement for the AHPCRC terminates in FY17.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |             |         |         |              |                                                                                             |               |         |         |                                                                                |                |                  |            |
| FY 2016 Accomplishments:<br>Validated the innovative Model Order Reduction (MOR) method for underbody blast application with experimental data and demonstrated two orders of magnitude increased efficiency of MOR method; developed new programming models for emerging heterogeneous memory hierarchies for tactical High Performance Computing (HPC); and developed domain specific languages for mesh based and graph problems and explored these algorithmic approaches for exascale computers.                                                                                                                                                                                                                                                                                                                                           |             |         |         |              |                                                                                             |               |         |         |                                                                                |                |                  |            |
| FY 2017 Plans:<br>Will investigate new scalable methods for data intensive sciences, specifically exploring next generation computing architectures (scalable algorithms development for data intensive sciences); research next generation computing and programming models and battle command software for emerging heterogeneous memory and storage hierarchies; and develop algorithmic approaches for exascale computers for physics based modeling.                                                                                                                                                                                                                                                                                                                                                                                       |             |         |         |              |                                                                                             |               |         |         |                                                                                |                |                  |            |
| Accomplishments/Planned Programs Subtotals                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |             |         |         |              |                                                                                             |               |         |         |                                                                                | 5.434          | 4.404            | -          |

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|-----------------------------------------------------------------|----------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army         |                                                                                                    | Date: May 2017                                                                        |
| Appropriation/Budget Activity<br>2040 / 1                       | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> | Project (Number/Name)<br>H53 / <i>Army High Performance Computing Research Center</i> |
| <b>C. Other Program Funding Summary (\$ in Millions)</b><br>N/A |                                                                                                    |                                                                                       |
| <b>Remarks</b>                                                  |                                                                                                    |                                                                                       |
| <b>D. Acquisition Strategy</b><br>N/A                           |                                                                                                    |                                                                                       |
| <b>E. Performance Metrics</b><br>N/A                            |                                                                                                    |                                                                                       |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army    |             |         |         |              |                                                                                                    |               |         |         |                                                                                      | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1                  |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>H54 / <i>Micro-Autonomous Systems Technology (MAST) CTA</i> |                |                  |            |
| COST (\$ in Millions)                                      | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                                              | FY 2022        | Cost To Complete | Total Cost |
| H54: <i>Micro-Autonomous Systems Technology (MAST) CTA</i> | -           | 7.374   | 6.792   | 0.000        | -                                                                                                  | 0.000         | 0.000   | 0.000   | 0.000                                                                                | 0.000          | -                | -          |

## A. Mission Description and Budget Item Justification

This Project fosters basic research through the Micro Autonomous Systems and Technology (MAST) Collaborative Technology Alliance (CTA), a competitively selected industry-university consortium which leverages world-class research necessary to address future force and Army Transformation needs. The CTA links a broad range of government technology agencies, as well as industrial and academic partners with the Army Research Laboratory (ARL). The MAST CTA focuses on innovative research in four main technical areas related to the coherent and collaborative operation of multiple micro autonomous platforms: microsystem mechanics, processing for autonomous operation, microelectronics, and platform integration. Payoff to the warfighter will be advanced technologies to support future force requirements in situational awareness. The CTA facilitates the exchange of people among the collaborating organizations to provide cross-organizational perspectives on basic research challenges, and to make available to the Alliance state-of-the-art facilities and equipment at the participating organizations. The MAST cooperative research alliance terminates in Fiscal Year (FY) 17.

Work in this Project complements and is fully coordinated with the United States (U.S.) Army Tank and Automotive Research, Development, and Engineering Center (TARDEC); the U.S. Army Natick Soldier Research, Development, and Engineering Center (NSRDEC); and the U.S. Special Operations Command (SOCOM).

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed by the ARL in Adelphi, MD.

## B. Accomplishments/Planned Programs (\$ in Millions)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Micro-Autonomous Systems Technology (MAST) CTA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 7.374          | 6.792          | -              |
| <b>Description:</b> Enhance tactical situational awareness in urban and complex terrain by enabling the autonomous operation of a collaborative ensemble of multifunctional mobile microsystems. The MAST cooperative research alliance terminates in FY17.                                                                                                                                                                                                                                                                                                       |                |                |                |
| <b>FY 2016 Accomplishments:</b><br>Investigated: 1) bio-inspired optic flow, sensors, and control algorithms for micro-aerial platforms with the goal of increasing platform stability and agility; 2) principles of transitions between surfaces for MAST-scale ambulatory robots to operate in complex three-dimensional (3D) terrains, and 3) an advanced 5 gram sub-millimeter radar for use in obstacle detection and platform navigation. Determined methods to enable: 1) cooperative control for teams of micro autonomous platforms; 2) rapid deployment |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                           | <b>Date:</b> May 2017                                                                       |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>H54 / <i>Micro-Autonomous Systems Technology (MAST) CTA</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           | <b>FY 2016</b>                                                                              | <b>FY 2017</b> |
| of heterogeneous robot teams for exploration of unknown environments; 3) robust estimation and path planning for navigation in 3D environments; and 4) bio-inspired landing, perching and grasping for micro-aerial vehicles.<br><br><b>FY 2017 Plans:</b><br>Will analyze, integrate and experimentally validate bio-inspired optic flow and gust detection sensors and control algorithms for MAST-scaled aerial platforms; analyze, integrate, and experimentally validate increased platform stability and bio-inspired agility concepts for MAST-scale ambulatory robots in complex 3D terrains; characterize and experimentally validate an advanced 5 gram submillimeter radar concept for obstacle detection and platform navigation; develop and experimentally validate advanced optical methods to enable cooperative control for teams of MAST-scaled platforms; characterize methods and experimentally validate rapid deployment of heterogeneous robot teams for exploration of unknown environments and bio-inspired landing, perching, and grasping for micro-aerial vehicles; and develop and experimentally validate concepts for robust communications in complex radio frequency (RF) environments. |                                                                                                           |                                                                                             |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                           | 7.374                                                                                       | 6.792          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b><br>N/A<br><b>Remarks</b><br><br><b>D. Acquisition Strategy</b><br>N/A<br><b>E. Performance Metrics</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                           |                                                                                             |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                                    |               |         |         |                                                                  | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>H59 / <i>International Tech Centers</i> |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                          | FY 2022        | Cost To Complete | Total Cost |
| H59: <i>International Tech Centers</i>                  | -           | 6.735   | 6.563   | 6.682        | -                                                                                                  | 6.682         | 6.556   | 6.742   | 7.081                                                            | 7.225          | -                | -          |

## **A. Mission Description and Budget Item Justification**

This Project funds the International Technology Centers (ITCs), the Foreign Technology (and Science) Assessment Support (FTAS) program.

The nine ITCs located in North America, South America, Asia, and Europe support the Army's goals of providing the best technology in the world to our Warfighters by leveraging the Science and Technology (S&T) investments of our international partners. The ITCs perform identification and evaluation of international technology programs to assess their potential impact on the Army's S&T investment strategy. ITC 'technology finds' are submitted as technology information papers (TIPs) to various Army S&T organizations for evaluation and consideration for further research and development. The FTAS program builds upon the TIPs submitted by the ITCs. In some cases the TIP is truly unique and may well meet an Army requirement or potentially support ongoing Army S&T investments. In such cases, the FTAS program can provide initial resources (seed money) to fund basic research in these technology areas identified by the TIPs as having potential relevance to the Army. The research will provide information useful in making early assessments of the technology's potential contributions to the Army's S&T strategy.

Work in this Project related to the United States Military Academy (USMA) Basic Research Center for Network Science is fully coordinated with and complementary to Program Element (PE) 0601104A (University and Industry Research Centers)/Project H50 (Network Science CTA).

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus.

Work in this Project is performed by Headquarters, Army Research, Development and Engineering Command (RDECOM) and the Army Research Laboratory (ARL) in Adelphi, MD.

## **B. Accomplishments/Planned Programs (\$ in Millions)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> International Technology Centers (ITC)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | 6.226          | 6.563          | 6.682          |
| <b>Description:</b> This Project funds the ITCs and the FTAS program. The FTAS program builds upon the TIPs submitted by the ITCs. In some cases the TIP is truly unique and may well meet an Army requirement or potentially support ongoing Army S&T investments. In such cases, the FTAS program can provide initial resources (seed money) to fund basic research in these technology areas identified by the TIPs as having potential relevance to the Army. The research will provide information useful in making early assessments of the technology's potential contributions to the Army's S&T strategy. |                |                |                |
| <b>FY 2016 Accomplishments:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                           | <b>Date:</b> May 2017                                                   |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>H59 / <i>International Tech Centers</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                           | <b>FY 2016</b>                                                          | <b>FY 2017</b> |
| Continued to solicit projects and build on the success of the FTAS Program; continued to enhance and refine technology search capabilities using customer feedback (Research, Development and Engineering Centers (RDECs), Program Managers (PMs) and labs) to focus on near- and long-term capabilities.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           |                                                                         |                |
| <b>FY 2017 Plans:</b><br>Will continue to solicit projects and build on the success of the FTAS Program; continue to enhance and refine technology search capabilities using customer feedback (RDECs, PMs and labs) to focus on near and long term capabilities.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           |                                                                         |                |
| <b>FY 2018 Plans:</b><br>Will continue to solicit projects and build on the success of the FTAS Program; and will continue to enhance and refine technology search capabilities using customer feedback (RDECs, PMs and labs) to focus on near- and long-term capabilities.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                           |                                                                         |                |
| <b>Title:</b> Basic Research Center in Network Science at the United States Military Academy<br><b>Description:</b> Network science research at USMA in coordination with the Network Science CTA (0601104A/Project H50).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           | 0.509                                                                   | -              |
| <b>FY 2016 Accomplishments:</b><br>Built academic impact networks and military information networks (unit teams) and refined process algorithms that produced and enhanced advances in performance, collaboration and cooperation; validated systems using operational data to design and optimize network frameworks and processes to improve military systems and unit organizations. Theoretical work was connected with intelligence, surveillance, and reconnaissance and command and control systems (mission command) and results were used in Army Training and Doctrine Command (TRADOC)-supported exercises; researched subgroup measures, topological models and information security algorithms that supported the use of network science in cyber and intelligence processing systems; and refined economic development models and cultural and logical networks in Africa that assisted military decision makers and diplomatic policy makers. |                                                                                                           |                                                                         |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           | 6.735                                                                   | 6.563          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           |                                                                         |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                           |                                                                         |                |
| <b>D. Acquisition Strategy</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                           |                                                                         |                |
| <b>E. Performance Metrics</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                                         |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |                |         |         |                 |                                                                                                |                  |         |         |                                                                 | Date: May 2017 |                     |               |
| Appropriation/Budget Activity<br>2040 / 1               |                |         |         |                 | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry<br>Research Centers |                  |         |         | Project (Number/Name)<br>H73 / Automotive Research Center (ARC) |                |                     |               |
| COST (\$ in Millions)                                   | Prior<br>Years | FY 2016 | FY 2017 | FY 2018<br>Base | FY 2018<br>OCO                                                                                 | FY 2018<br>Total | FY 2019 | FY 2020 | FY 2021                                                         | FY 2022        | Cost To<br>Complete | Total<br>Cost |
| H73: Automotive Research<br>Center (ARC)                | -              | 3.009   | 3.180   | 3.235           | -                                                                                              | 3.235            | 3.296   | 3.361   | 3.427                                                           | 3.498          | -                   | -             |

A. Mission Description and Budget Item Justification

This Project fosters basic research in novel, high payoff technologies that can be integrated into Army ground platforms. The Center of Excellence for Automotive Research is part of the basic research component of the National Automotive Center (NAC), a business group within the Army Tank-Automotive Research, Development, and Engineering Center (TARDEC). The Center of Excellence for Automotive Research is an innovative university/industry/government consortium leveraging commercial technology for potential application in Army vehicle systems through ongoing and new programs in automotive research, resulting in significant cost savings and performance enhancing technological opportunities. The research performed in this Project contributes to formulating and establishing the basic scientific and engineering principles for these technologies.

Work in this Project complements and is fully coordinated with work under Program Element (PE) 0602601A (Combat Vehicle and Automotive Technology). Selected university partners include: University of Michigan, Virginia Tech, Wayne State University, University of Iowa, Oakland University, and Clemson University. Key industry partners include all major US automotive manufacturers and suppliers. The Automotive Research Center (ARC) formulates and evaluates advanced automotive technologies and advances state-of-the-art modeling and simulation for the Army's future ground vehicle platforms.

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering science and technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed by TARDEC, Warren, MI.

B. Accomplishments/Planned Programs (\$ in Millions)

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |         |         |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|---------|---------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | FY 2016 | FY 2017 | FY 2018 |
| Title: Automotive Research Center (ARC)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 3.009   | 3.180   | 3.235   |
| Description: The ARC is an U.S. Army Center of Excellence for Modeling and Simulation of ground Vehicles. The Center relies on the collaboration of researchers from multiple universities and disciplines in order to bridge fundamental technology gaps in five research thrust areas of strategic importance to the Army, associated with conversion and management of power and energy within vehicles, mobility and survivability of the complete vehicle system, including the human operator, and vehicle integration/optimization. |         |         |         |
| FY 2016 Accomplishments: Researched and developed modeling and simulation methodologies for enabling autonomy in ground vehicle systems and increased force protection/survivability; researched tire and track modeling necessary for terramechanics advancements. Researched thrust areas focus on dynamics and control of vehicles with emphasis on autonomy-enabled systems, human-                                                                                                                                                    |         |         |         |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                           | <b>Date:</b> May 2017                                                         |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>H73 / <i>Automotive Research Center (ARC)</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                           | <b>FY 2016</b>                                                                | <b>FY 2017</b> |
| <p>centered modeling and simulation, high performance structures and materials, advanced and hybrid power trains, and vehicle system integration, optimization and robustness.</p> <p><b>FY 2017 Plans:</b><br/>Will expand research and further develop modeling and simulation methodologies for enabling autonomy in ground vehicle systems and increased force protection/survivability focused on real-time obstacle avoidance, latency compensation and shared human-machine control; research tire and track modeling and other off-road mobility related topics necessary for terramechanics advancements. Research thrust areas will focus on dynamics and control of vehicles with emphasis on autonomous and autonomy-enabled systems, human-centered modeling and simulation, high performance structures and materials as it pertains to lightweighting/advanced battery systems/lubricants/fuels, next-generation propulsion systems, advanced and hybrid power trains, and vehicle system integration, multi-objective and multi-disciplinary design optimization and robustness focused on modular systems that are expeditionary in nature.</p> <p><b>FY 2018 Plans:</b><br/>Will continue to focus on dynamics and control of vehicles with emphasis on autonomy-enabled systems, and ground vehicle system integration of advanced powertrains, storage systems and lightweight structures/materials. Will research and develop modeling and simulation methodologies for vehicle dynamics-conscious real-time hazard avoidance in autonomous ground vehicles (AGV), improving inherent mobility through innovative latency compensation techniques and robotrust algorithms, increasing energy efficiency and mobility of connected vehicles, adaptive powertrain thermal management based on active monitoring and control, superior engine heat rejection using advanced materials, new fatigue reliability and random vibration methods for linear and nonlinear systems, etc. Project proposals for continuing and new projects will be solicited from all ARC consortium researchers in the first quarter of Fiscal Year (FY) 2018.</p> |                                                                                                           |                                                                               |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                           | 3.009                                                                         | 3.180          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                                               |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           |                                                                               |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                           |                                                                               |                |
| <b>D. Acquisition Strategy</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                           |                                                                               |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           |                                                                               |                |
| <b>E. Performance Metrics</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           |                                                                               |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           |                                                                               |                |



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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |                                                                                                    | Date: May 2017 |                                                                                 |                |
| Appropriation/Budget Activity<br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |                | Project (Number/Name)<br>J08 / <i>Institute For Creative Technologies (ICT)</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |  |                                                                                                    | <b>FY 2016</b> | <b>FY 2017</b>                                                                  | <b>FY 2018</b> |
| Continued investigation of techniques for creating immersive environments using mobile computing platforms such as tablet computers, smart phones, and other mobile devices for the purpose of training and mission rehearsal; and explored the creation of novel virtual reality training platforms using mixed reality techniques and coordinated quadrotor robots to expand virtual training operating space.                                                                                                                                                                                                                                                                 |  |                                                                                                    |                |                                                                                 |                |
| <b>FY 2017 Plans:</b><br>Will conduct studies with immersive virtual reality environments to identify ways to induce users to react in realistic and naturalistic ways to support more effective training and learning experiences in virtual spaces; investigate research technologies to automatically recognize nonverbal behaviors and interpersonal dynamics in groups for improved human-computer and human-robot interactions; and investigate the use of machine learning techniques to acquire automatically through interaction with users a variety of linguistic features that support more natural and fluid language interaction.                                  |  |                                                                                                    |                |                                                                                 |                |
| <b>FY 2018 Plans:</b><br>Will incorporate semantic, nonverbal human behaviors with verbal messages to increase realism of simulated face-to-face conversations between humans and virtual humans. Will develop algorithms to automatically analyze social simulation models for proactively identifying potential data gaps and eliciting data from both online and expert sources to fill in the identified gaps. Will create end-to-end neural network-inspired solutions for modeling entrainment for groups of individuals (mixed with virtual agents).                                                                                                                      |  |                                                                                                    |                |                                                                                 |                |
| <b>Title:</b> Graphics and Animations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |                                                                                                    | 1.409          | 1.434                                                                           | 1.462          |
| <b>Description:</b> Conduct basic research to identify new computational techniques in graphics for achieving real-time photo-realistic rendering of physical and synthetic environments for training and simulations. Research innovative methods for automatically generating animations and gestures for virtual humans based on what is being communicated. Research new technologies for scanning real people and rapidly generating virtual humans which look like these people significantly reducing the time, expense and effort required to develop virtual humans and virtual environments.                                                                           |  |                                                                                                    |                |                                                                                 |                |
| <b>FY 2016 Accomplishments:</b><br>Developed finite element models to improve facial capture performance and animation of eyes and lips for virtual humans, allowing for enhanced non-verbal communications in social interactive training environments; and developed techniques to display life-sized, 3D virtual humans resulting in a high-fidelity, simulated social interactions for training and leader development.                                                                                                                                                                                                                                                      |  |                                                                                                    |                |                                                                                 |                |
| <b>FY 2017 Plans:</b><br>Will research new technologies for developing life-like, high definition novel performances that include the rapid synthesis of a wide range of facial animations by digital characters allowing for the creation of new performances even when the original (real) subject is no longer available; investigate methods and techniques for the autostereoscopic rendering and display of virtual humans in 3D shared spaces such that they can be viewed by multiple simultaneous viewers without the need for special glasses or headwear; research computational camera system techniques for the purpose of rapidly capturing photorealistic digital |  |                                                                                                    |                |                                                                                 |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |                                                                                                | Date: May 2017 |                                                                             |                |
| Appropriation/Budget Activity<br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry<br>Research Centers |                | Project (Number/Name)<br>J08 / Institute For Creative Technologies<br>(ICT) |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |                                                                                                | <b>FY 2016</b> | <b>FY 2017</b>                                                              | <b>FY 2018</b> |
| characters and authoring performance-driven animations; conduct experiments to determine effectiveness of the human-virtual human interaction at varying levels of fidelity; and extend virtual character capture methods to extract and model hair and cloth to improve the photorealism of dynamic virtual characters.                                                                                                                                                                                                                                                                                                                                                                                                                             |  |                                                                                                |                |                                                                             |                |
| <b>FY 2018 Plans:</b><br>Will research hybrid approaches to tracking and creating high-definition facial and body performances of virtual humans for increased realism within virtual and mixed reality environments; will investigate techniques to rapidly capture and recreate objects and scenes within virtual reality environments; and will develop models for animated characters that include relevant aspects of actual human personalities such as gait, posture, and gestures.                                                                                                                                                                                                                                                           |  |                                                                                                |                |                                                                             |                |
| <b>Title:</b> Techniques and Human-Virtual Human Interaction                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |  |                                                                                                | 2.300          | 2.405                                                                       | 2.452          |
| <b>Description:</b> Will conduct basic research to investigate methods and techniques for creating virtual human computer-generated characters that look, communicate and behave like real people, meaning the virtual humans will be autonomous, use verbal and non-verbal communication, exhibit emotions, model their own beliefs, desires and intentions as well as those of others, and reason using advanced artificial intelligence. Investigate methods and techniques for improving the perception, communication, understanding, and responsiveness of virtual humans when interacting with live humans and explore how people relate to virtual humans.                                                                                   |  |                                                                                                |                |                                                                             |                |
| <b>FY 2016 Accomplishments:</b><br>Developed and validated theoretical framework to increase the effectiveness of human interactions with virtual humans and robots; developed algorithms and models for virtual humans to engage in multiple activities extending their conversational ability to beyond one specific scenario; and continued development of human cognitive architecture supporting virtual human learning.                                                                                                                                                                                                                                                                                                                        |  |                                                                                                |                |                                                                             |                |
| <b>FY 2017 Plans:</b><br>Will explore strategic use of emotion and how emotional displays can be used to manipulate negotiation outcomes and develop a dynamic computer model representation; extend research to explore in depth differences between how people respond to virtual humans, real humans and robots; create meta-dialogue strategies for controlling interactions between people and virtual humans and use online learning to enhance speech synthesis so that virtual humans engage in human-like interaction with people and other virtual human agents; and refine conceptual virtual humans architecture to validate advanced and more natural emotional behaviors, reasoning, and interactions via natural language and speech. |  |                                                                                                |                |                                                                             |                |
| <b>FY 2018 Plans:</b><br>Will examine and formalize multiple pathways that leaders can use to influence the emotions and motivations of others (in both negotiation and leadership settings). Will create models of motivation and personality within a cognitive architecture for virtual humans. Will develop a new theory of human-machine teaming focused on gaining a better understanding of the human-machine                                                                                                                                                                                                                                                                                                                                 |  |                                                                                                |                |                                                                             |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                      |                                                                                                           | <b>Date:</b> May 2017                                                                  |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                        | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>J08 / <i>Institute For Creative Technologies (ICT)</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                             |                                                                                                           | <b>FY 2016</b>                                                                         | <b>FY 2017</b> |
| social relationships. Will evaluate the use of meta-dialogue, on-line learning, story, culture, and knowledge-based interaction-enhanced capabilities within the context of long-term interactions between humans and artificial agents |                                                                                                           |                                                                                        |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                       |                                                                                                           | 5.839                                                                                  | 6.186          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b><br>N/A                                                                                                                                                                         |                                                                                                           |                                                                                        |                |
| <b>Remarks</b>                                                                                                                                                                                                                          |                                                                                                           |                                                                                        |                |
| <b>D. Acquisition Strategy</b><br>N/A                                                                                                                                                                                                   |                                                                                                           |                                                                                        |                |
| <b>E. Performance Metrics</b><br>N/A                                                                                                                                                                                                    |                                                                                                           |                                                                                        |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                                    |               |         |         |                                                                                  | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>J12 / <i>Institute For Soldier Nanotechnology (ISN)</i> |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                                          | FY 2022        | Cost To Complete | Total Cost |
| J12: <i>Institute For Soldier Nanotechnology (ISN)</i>  | -           | 5.339   | 6.185   | 5.999        | -                                                                                                  | 5.999         | 5.999   | 5.998   | 5.997                                                                            | 6.057          | -                | -          |

**A. Mission Description and Budget Item Justification**

This Project supports sustained multidisciplinary research at the Army's Institute for Soldier Nanotechnologies (ISN) at the Massachusetts Institute of Technology. The ISN was established as a University Affiliated Research Center (UARC) to support research to devise nanotechnology-based solutions for the Soldier. The ISN emphasizes revolutionary materials research for advanced Soldier protection and survivability. The ISN works in close collaboration with the United States (U.S.) Army Research Laboratory (ARL), the Army Natick Soldier Research, Development and Engineering Center (NSRDEC), and other U.S. Army Research Development and Engineering Command (RDECOM) elements, as well as several major industrial partners, including Raytheon and DuPont, in pursuit of its goals. This project emphasizes revolutionary materials research toward an advanced uniform concept. The future uniform will integrate a wide range of functionality, including ballistic protection, responsive passive cooling and insulating, screening of chemical and biological agents, biomedical monitoring, performance enhancement, and extremities protection. The objective is to lighten the Soldier's load through system integration and multifunctional devices while increasing survivability. The new technologies will be compatible with other Soldier requirements, including Soldier performance, limited power generation, integrated sensors, communication and display technologies, weapons systems, and expected extremes of temperature, humidity, storage lifetimes, damage, and spoilage.

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed by the ARL in Adelphi, MD.

**B. Accomplishments/Planned Programs (\$ in Millions)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Nanomaterials and Nanotechnologies for Soldier Application (formerly Nanomaterials)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 1.250          | 1.540          | 5.999          |
| <b>Description:</b> Nanomaterials research efforts focus on light-weight, multifunctional nanostructured fibers and materials.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                |                |                |
| <b>FY 2016 Accomplishments:</b><br>Designed and chemically synthesized colloidal nanoparticles to efficiently convert Ultra-Violet (UV) to Short Wavelength Infrared (SWIR) light to enable night vision and secure communications with one, inexpensive device and to add capability to current SWIR commercial, off-the-shelf devices; devised novel chemistry for synthesis and functionalization of thin core-shell nanoparticle constructs to enable economical, highly efficient SWIR emission devices; developed piezo-electric fibers and fiber arrays for acoustic sensing and potential use in sniper detection; created crystalline semiconductors from high melting materials using novel lower temperature fiber drawing technology to enable novel, in-uniform fiber devices for communications and sensing; designed and produced, by fiber thermal drawing methods, all-in-fiber electrical capacitors of prescribed architectures for use in electric power and electronics applications with uniform and non-uniform devices; and developed and applied new computational |                |                |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  |                                                                                                | Date: May 2017 |                                                                              |                |
| Appropriation/Budget Activity<br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |  | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry<br>Research Centers |                | Project (Number/Name)<br>J12 / Institute For Soldier Nanotechnology<br>(ISN) |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |                                                                                                | <b>FY 2016</b> | <b>FY 2017</b>                                                               | <b>FY 2018</b> |
| modeling and simulation tools to enable tractable design of high efficiency optical obscurant particles to enable better obscurant capabilities in smoke grenades.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |  |                                                                                                |                |                                                                              |                |
| <b>FY 2017 Plans:</b><br>Will continue to fund basic nanomaterials research efforts, including functional nanocrystals for spectral applications, graphene integration for infrared (IR) detection, and nanoparticles with specified optical resonances for obscurant applications.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |                                                                                                |                |                                                                              |                |
| <b>FY 2018 Plans:</b><br>Will conduct basic research projects in nanomaterials that can lead to development of novel nanophotonic and optical sensing and energy conversion platforms, and personal medicine platforms for the Soldier. Will explore novel nanomaterials and composites to improve Soldier protection against blast and ballistic threats, mitigate shock, and improve impact absorption. Will investigate multiscale modeling efforts for fracture process in novel nanomaterials. Will study novel strategies for treatment of incompressible wounds and improved vaccination/infection control strategies by leveraging targeted nanotherapies. Will research nanosystem integration efforts that could lead to development of novel electrical, photonic, and optical sensing platforms involving 2D materials. Will support innovative research efforts that can lead to portable and efficient energy conversion and storage strategies. |  |                                                                                                |                |                                                                              |                |
| <b>Title:</b> Blast Effects on Soldier                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |  |                                                                                                | 2.792          | 3.100                                                                        | -              |
| <b>Description:</b> Blast Effects on Soldier research involves the areas of Battle Suit Medicine and Blast and Ballistic Protection. Will be discontinued as a separate task and will be merged with Nanomaterials and Nanotechnologies for Soldier Application task in Fiscal Year (FY) 18.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |                                                                                                |                |                                                                              |                |
| <b>FY 2016 Accomplishments:</b><br>Designed, fabricated and tested experimental graphene polymer composites to provide lighter weight and higher strength protective materials for the Soldier; performed experiments, mathematical modeling, and simulation studies to enable the design and production of light weight, high strength nanocrystalline and superelastic metal alloys for blast and ballistic protection and damping of mechanical energy; developed improved fundamental understanding of the physics, biology, and physiology of blast-induced trauma and of the strengths and limitations of various materials to protect against blast related injuries; and developed computational tools for high-fidelity three-dimensional (3D) simulations of blast and ballistic impacts on human protective materials including crack formation and propagation, and materials failure.                                                             |  |                                                                                                |                |                                                                              |                |
| <b>FY 2017 Plans:</b><br>Will continue basic research to improve understanding of the physics, biology and physiology of blast-induced trauma and the strengths and limitations of various materials to protect against blast related injuries. Support efforts to develop computational                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |  |                                                                                                |                |                                                                              |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |  |                                                                                                           | <b>Date:</b> May 2017 |                                                                                         |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> |                       | <b>Project (Number/Name)</b><br>J12 / <i>Institute For Soldier Nanotechnology (ISN)</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |                                                                                                           | <b>FY 2016</b>        | <b>FY 2017</b>                                                                          | <b>FY 2018</b> |
| tools for high-fidelity 3D simulations of blast and ballistic impacts on human protective materials, including crack formation and propagation, and materials failure.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  |                                                                                                           |                       |                                                                                         |                |
| <b>Title:</b> Soldier Protection<br><br><b>Description:</b> Soldier Protection research efforts focused on Soldier Survivability and Protection and Nanosystems Integration. Will be discontinued as a separate task and will be merged with Nanomaterials and Nanotechnologies for Soldier Application task in FY18.<br><br><b>FY 2016 Accomplishments:</b><br>Designed, constructed, and assessed compact devices to allow storage and rapid administration of pain relief and agents to treat battlefield injuries; devised compact, high sensitivity hollow-core photonic band gap fiber devices to extend the detection limits and range of improvised explosive devices that can be detected with compact hand-held and robot-borne devices; exploited the novel electronic properties of chemically and biologically functionalized nanocarbon structures to design compact, low power devices to sense food pathogens and to sense chemical-biological agents or other hazardous materials; created nanostructured capabilities to treat battlefield wounds including engineered hydrogels to rapidly stop bleeding, engineered bacteriophages and nanoparticles to combat antibiotic resistant wound pathogens, and nanoparticles to deliver anti-inflammatory agents into cells; performed theoretical, computational, and experimental studies of how photonic crystals interact with light waves that may enable the development of all-optical integrated circuits for more robust devices; designed, built, and assessed advanced thermo-photovoltaic power generation devices that exploit nanostructured photonic crystals to achieve much higher fuel-to-electricity conversion efficiencies and thus enable efficient portable power; employed analytical theory, high-fidelity computation, and experiments to enable practical applications of a recently discovered photonic crystal phenomenon, that may ultimately enable novel sensing applications.<br><br><b>FY 2017 Plans:</b><br>Will continue funding basic research efforts that could lead to development of novel therapeutic multifunctional materials and drug delivery vehicles. Support efforts in synthesis of nanoscale superelastic alloys and other novel nanomaterial systems for potential flexible protection application. |  |                                                                                                           | 1.297                 | 1.545                                                                                   | -              |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |                                                                                                           | 5.339                 | 6.185                                                                                   | 5.999          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |                                                                                                           |                       |                                                                                         |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |                                                                                                           |                       |                                                                                         |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  |                                                                                                           |                       |                                                                                         |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |                                                                                                    | Date: May 2017                                                                   |
| Appropriation/Budget Activity<br>2040 / 1               | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> | Project (Number/Name)<br>J12 / <i>Institute For Soldier Nanotechnology (ISN)</i> |
| D. Acquisition Strategy<br>N/A                          |                                                                                                    |                                                                                  |
| E. Performance Metrics<br>N/A                           |                                                                                                    |                                                                                  |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                    |                |                |                     |                                                                                                           |                      |                |                |                                                                                       | <b>Date:</b> May 2017 |                         |                   |  |                |                |                                            |       |   |                                                                                    |  |  |                                     |       |   |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                    |                |                |                     | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> |                      |                |                | <b>Project (Number/Name)</b><br>J13 / <i>UNIVERSITY AND INDUSTRY INITIATIVES (CA)</i> |                       |                         |                   |  |                |                |                                            |       |   |                                                                                    |  |  |                                     |       |   |
| <b>COST (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <b>Prior Years</b> | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018 Base</b> | <b>FY 2018 OCO</b>                                                                                        | <b>FY 2018 Total</b> | <b>FY 2019</b> | <b>FY 2020</b> | <b>FY 2021</b>                                                                        | <b>FY 2022</b>        | <b>Cost To Complete</b> | <b>Total Cost</b> |  |                |                |                                            |       |   |                                                                                    |  |  |                                     |       |   |
| J13: <i>UNIVERSITY AND INDUSTRY INITIATIVES (CA)</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | -                  | 4.000          | 0.000          | 0.000               | -                                                                                                         | 0.000                | 0.000          | 0.000          | 0.000                                                                                 | 0.000                 | -                       | -                 |  |                |                |                                            |       |   |                                                                                    |  |  |                                     |       |   |
| <p><b>Note</b><br/>Not applicable for this item.</p> <p><b>A. Mission Description and Budget Item Justification</b><br/>Congressional Interest Item funding provided for University and Industry Initiatives.</p> <p><b>B. Accomplishments/Planned Programs (\$ in Millions)</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td></td> <td align="center"><b>FY 2016</b></td> <td align="center"><b>FY 2017</b></td> </tr> <tr> <td><b>Congressional Add:</b> Program Increase</td> <td align="right">4.000</td> <td align="center">-</td> </tr> <tr> <td><b>FY 2016 Accomplishments:</b> Congressional increase for basic research efforts.</td> <td></td> <td></td> </tr> <tr> <td align="right"><b>Congressional Adds Subtotals</b></td> <td align="right">4.000</td> <td align="center">-</td> </tr> </table> <p><b>C. Other Program Funding Summary (\$ in Millions)</b><br/>N/A</p> <p><b>Remarks</b></p> <p><b>D. Acquisition Strategy</b><br/>N/A</p> <p><b>E. Performance Metrics</b><br/>N/A</p> |                    |                |                |                     |                                                                                                           |                      |                |                |                                                                                       |                       |                         |                   |  | <b>FY 2016</b> | <b>FY 2017</b> | <b>Congressional Add:</b> Program Increase | 4.000 | - | <b>FY 2016 Accomplishments:</b> Congressional increase for basic research efforts. |  |  | <b>Congressional Adds Subtotals</b> | 4.000 | - |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <b>FY 2016</b>     | <b>FY 2017</b> |                |                     |                                                                                                           |                      |                |                |                                                                                       |                       |                         |                   |  |                |                |                                            |       |   |                                                                                    |  |  |                                     |       |   |
| <b>Congressional Add:</b> Program Increase                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 4.000              | -              |                |                     |                                                                                                           |                      |                |                |                                                                                       |                       |                         |                   |  |                |                |                                            |       |   |                                                                                    |  |  |                                     |       |   |
| <b>FY 2016 Accomplishments:</b> Congressional increase for basic research efforts.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                    |                |                |                     |                                                                                                           |                      |                |                |                                                                                       |                       |                         |                   |  |                |                |                                            |       |   |                                                                                    |  |  |                                     |       |   |
| <b>Congressional Adds Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | 4.000              | -              |                |                     |                                                                                                           |                      |                |                |                                                                                       |                       |                         |                   |  |                |                |                                            |       |   |                                                                                    |  |  |                                     |       |   |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |                |         |         |                 |                                                                                                |                  |         |         |                                                                  | Date: May 2017 |                     |               |
| Appropriation/Budget Activity<br>2040 / 1               |                |         |         |                 | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry<br>Research Centers |                  |         |         | Project (Number/Name)<br>J14 / Army Educational Outreach Program |                |                     |               |
| COST (\$ in Millions)                                   | Prior<br>Years | FY 2016 | FY 2017 | FY 2018<br>Base | FY 2018<br>OCO                                                                                 | FY 2018<br>Total | FY 2019 | FY 2020 | FY 2021                                                          | FY 2022        | Cost To<br>Complete | Total<br>Cost |
| J14: Army Educational Outreach Program                  | -              | 9.287   | 9.864   | 10.047          | -                                                                                              | 10.047           | 10.272  | 10.466  | 10.675                                                           | 10.893         | -                   | -             |

**A. Mission Description and Budget Item Justification**

This Project supports science activities that encourage elementary/middle/high school and undergraduate youths to develop an interest in and pursue education and employment in the Science, Technology, Engineering, and Math (STEM) fields. These activities are coordinated within the Army Educational Outreach Program (AEOP) that links and networks appropriate components to derive the best synergies to present the Army to a larger pool of technical talent and to provide students with Army-unique practical experiences at Army laboratories, centers, and institutes to fill future Army Science and Technology workforce needs. AEOP increases interest and involvement of students and teachers across the nation in STEM at all proficiency levels and backgrounds to include under-represented and economically disadvantaged groups through exposure to Army sponsored research, education, competitions, internships, and practical experiences. This Project utilizes Army STEM assets to contribute to a STEM literate citizenry as well as enhances the national pool of science and engineering personnel that in turn supports defense industry and Army laboratory and research, development, and engineering center needs.

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus area, the Army Modernization Strategy, the Federal STEM Strategic Plan, and the President's "Educate to Innovate" campaign for STEM education.

Work in this Project is performed by the Army Research, Development, and Engineering Command (RDECOM), the Army Research Institute (ARI) for the Behavioral and Social Sciences, the Army Corps of Engineers' Engineer Research and Development Center (ERDC), the Army Medical Research and Materiel Command (MRMC), the Army Space and Missile Defense Command (SMDC), and the United States Military Academy (USMA).

|                                                                                                                                                                                                                                                                                            |                |                |                |
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| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
| <b>Title:</b> eCYBERMISSION                                                                                                                                                                                                                                                                | 3.617          | 3.822          | 3.821          |
| <b>Description:</b> This program supports a nation-wide, web-based STEM competition for students in grades 6 through 9, designed to stimulate interest and encourage continued education in these areas among middle and high school students nationwide.                                  |                |                |                |
| <b>FY 2016 Accomplishments:</b><br>Continued STEM activities with concentrated effort in reaching out to students from underserved populations; increased geographic diversity; sustained program growth; and implemented program enhancements based on prior years' evaluations outcomes. |                |                |                |
| <b>FY 2017 Plans:</b>                                                                                                                                                                                                                                                                      |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                           | <b>Date:</b> May 2017                                                          |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>J14 / <i>Army Educational Outreach Program</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                           | <b>FY 2016</b>                                                                 | <b>FY 2017</b> |
| <p>Will continue STEM activities with concentrated effort in reaching out to students from underserved populations; increase geographic diversity; sustain program growth; and will implement program enhancements based on prior years' evaluations outcomes.</p> <p><b>FY 2018 Plans:</b><br/>Will continue STEM activities with concentrated effort in reaching out to students from underserved populations; increase geographic diversity; sustain program growth; and will implement program enhancements based on prior years' evaluations outcomes.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                                                |                |
| <p><b>Title:</b> Educational Outreach and Workforce Development</p> <p><b>Description:</b> This effort aims to broaden STEM competencies through various outreach and workforce development initiatives at participating Army labs and research centers.</p> <p><b>FY 2017 Plans:</b><br/>Will continue AEOP support and outreach to under-represented and economically disadvantaged areas to enhance STEM education through student experiences in Army labs and academic partner institutions, and mentor students to broaden their interest in and their development of STEM education.</p> <p><b>FY 2018 Plans:</b><br/>Will continue AEOP support and outreach to under-represented and economically disadvantaged areas to enhance STEM education through student experiences in Army labs and academic partner institutions, and mentor students to broaden their interest in and their development of STEM education.</p>                                                                                                                                                      |                                                                                                           | -                                                                              | 2.400          |
| <p><b>Title:</b> Army Educational Outreach Program (AEOP) Cooperative Agreement</p> <p><b>Description:</b> The Army Educational Outreach Program Cooperative Agreement encompasses a variety of outreach activities under AEOP. This activity supports a strong partnership with government, academia and industry to address the shortfall of clearable STEM skilled talent preparing for the workforce. These activities include Army-sponsored research, education, competitions, internships and practical experiences designed to engage and guide students and teachers in Army sponsored STEM programs. AEOP has targeted efforts to reach and engage underserved and underrepresented communities in STEM initiatives to build the pool of diverse STEM competitive talent.</p> <p><b>FY 2016 Accomplishments:</b><br/>Continued Army lab and research center sponsorship of students and STEM education opportunities; provided incentives in STEM competitions that include scholarships, experiences and mentorships as well as expose students to Department of Defense</p> |                                                                                                           | 5.377                                                                          | 3.711          |

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|----------------|
| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           | <b>Date:</b> May 2017                                                          |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>J14 / <i>Army Educational Outreach Program</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                           | <b>FY 2016</b>                                                                 | <b>FY 2017</b> |
| <p>(DoD) career opportunities; streamlined processes, leveraged funding and built educational partnerships; and performed annual comprehensive review and educational assessments to support future decisions and best practices.</p> <p><b>FY 2017 Plans:</b><br/>Will continue Army lab and research center sponsorship of students and STEM education opportunities; provide incentives in STEM competitions that include scholarships, experiences and mentorships as well as expose students to DoD career opportunities; streamline processes, leverage funding and build educational partnerships; and perform annual comprehensive review and educational assessments to support future decisions and best practices.</p> <p><b>FY 2018 Plans:</b><br/>Will continue Army lab and research center sponsorship of students and STEM education opportunities; provide incentives in STEM competitions that include scholarships, experiences and mentorships as well as expose students to DoD career opportunities; streamline processes, leverage funding and build educational partnerships; and perform annual comprehensive review and educational assessments to support future decisions and best practices.</p> |                                                                                                           |                                                                                |                |
| <p><b>Title:</b> West Point Cadet Research</p> <p><b>Description:</b> The West Point Cadet Research Program provides West Point Cadets an opportunity to work on Army research projects alongside Army and industry scientists and engineers.</p> <p><b>FY 2016 Accomplishments:</b><br/>Conducted West Point cadet research internship program to enhance cadet training through field experience in Army research labs and centers.</p> <p><b>FY 2017 Plans:</b><br/>Will conduct West Point cadet research internship program to enhance cadet training through field experience in Army research labs and centers.</p> <p><b>FY 2018 Plans:</b><br/>Will conduct West Point cadet research internship program to enhance cadet training through field experience in Army research labs and centers.</p>                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                           | 0.293                                                                          | 0.310          |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                           | 9.287                                                                          | 10.047         |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                           |                                                                                |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                           |                                                                                |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                           |                                                                                |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |                                                                                                | Date: May 2017                                                   |
| Appropriation/Budget Activity<br>2040 / 1               | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry<br>Research Centers | Project (Number/Name)<br>J14 / Army Educational Outreach Program |
| D. Acquisition Strategy<br>N/A                          |                                                                                                |                                                                  |
| E. Performance Metrics<br>N/A                           |                                                                                                |                                                                  |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                                    |               |         |         |                                                            | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>J15 / <i>Network Sciences ITA</i> |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                    | FY 2022        | Cost To Complete | Total Cost |
| J15: <i>Network Sciences ITA</i>                        | -           | 3.909   | 4.078   | 4.082        | -                                                                                                  | 4.082         | 4.111   | 4.151   | 4.233                                                      | 4.320          | -                | -          |

**A. Mission Description and Budget Item Justification**

This Project supports research at a competitively selected United States (U.S.)/United Kingdom (U.K.) government, university, and industry consortium established to perform fundamental network and information science investigations in the areas of network theory, system-of-systems security, sensor processing and delivery, and distributed coalition planning and decision making. The focus is on enhancing distributed, secure, and flexible decision-making to improve coalition operations, and developing the scientific foundations for complex and dynamic networked systems-of-systems to support the complex human, social, and technical interactions anticipated in future coalition operations with the emphasis on integration of multiple technical disciplines in an international arena. The Army Research Laboratory (ARL) and the U.K. Ministry of Defense (MOD) established the jointly funded and managed U.S. and U.K. consortium, known as the International Technology Alliance (ITA) on Network and Information Sciences, in Fiscal Year (FY) 2006.

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed by the ARL at Adelphi, MD.

**B. Accomplishments/Planned Programs (\$ in Millions)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Distributed Analytics and Information Science for U.S./U.K. Coalition Operations Information (formerly Network and Information Science Basic Research for U.S./U.K. Coalition Operations Information)                                                                                                                                                                                                                                                                                                                                                                                                 | 3.909          | 4.078          | 4.082          |
| <b>Description:</b> This research will address the fundamental science underpinning the complex information network issues that are vital to future U.S./U.K. coalition military operations and to fully exploit the joint development of emerging technologies necessary to enable coalition operations. These efforts provide enhanced ability to perform adaptive, goal-driven, semantically-aware, distributed analytics for situational understanding in coalition operations.                                                                                                                                 |                |                |                |
| <b>FY 2016 Accomplishments:</b><br>Developed projective analysis techniques for hybrid networks that consider limitations on controllability; developed secure, content-based networking approaches that allow distributed information discovery, resiliency, and adaptability in heterogeneous coalition networks; developed abstract, physical, spatio-temporal analytical models and representations that support distributed processing of information; and developed distributed techniques for dynamically assembling information services in dynamic coalition environments to enable distributed analytics. |                |                |                |
| <b>FY 2017 Plans:</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                           | <b>Date:</b> May 2017                                             |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>J15 / <i>Network Sciences ITA</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                           | <b>FY 2016</b>                                                    | <b>FY 2017</b> |
| <p>Will cultivate a fundamental understanding of using distributed services to support coalition information processing in dynamic environments for building composite information infrastructures; develop information-centric networking that supports secure coalition operations via logically distributed and decentralized architectures across heterogeneous coalition networks; formulate dynamic policy-based autonomous management techniques to jointly control both coalition information and infrastructural services that dynamically adjust to mission changes, network dynamics and policy changes; develop formal theories, frameworks and mechanisms to dynamically match operational tasks to information resources for complex coalition operations; and investigate formal theories and techniques to enable multi-level integrated fusion of disparate information sources in context of decision support objectives for coalitions.</p> <p><b><i>FY 2018 Plans:</i></b><br/>Will model complex, adaptive human systems including group and sub-group reactions to external and internal stimuli to recognize and discriminate behaviors of interest. Will investigate software-defined information-centric networking that supports secure coalition operations via logically distributed and decentralized control plane architectures across heterogeneous, mobile networks; will create formal theories, techniques, and frameworks to enable multi-level integrated fusion of disparate information sources in the context of decision-support objectives; and will identify distributed learning techniques to compose and adapt distributed services in dynamic coalitions.</p> |                                                                                                           |                                                                   |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           | 3.909                                                             | 4.078          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                           |                                                                   |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                           |                                                                   |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                           |                                                                   |                |
| <b>D. Acquisition Strategy</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                           |                                                                   |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                           |                                                                   |                |
| <b>E. Performance Metrics</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                           |                                                                   |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                           |                                                                   |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army |             |         |         |              |                                                                                                    |               |         |         |                                                                                   | Date: May 2017 |                  |            |
| Appropriation/Budget Activity<br>2040 / 1               |             |         |         |              | R-1 Program Element (Number/Name)<br>PE 0601104A / <i>University and Industry Research Centers</i> |               |         |         | Project (Number/Name)<br>J17 / <i>Vertical Lift Research Center Of Excellence</i> |                |                  |            |
| COST (\$ in Millions)                                   | Prior Years | FY 2016 | FY 2017 | FY 2018 Base | FY 2018 OCO                                                                                        | FY 2018 Total | FY 2019 | FY 2020 | FY 2021                                                                           | FY 2022        | Cost To Complete | Total Cost |
| J17: <i>Vertical Lift Research Center Of Excellence</i> | -           | 2.911   | 3.076   | 3.130        | -                                                                                                  | 3.130         | 3.186   | 3.249   | 3.313                                                                             | 3.381          | -                | -          |

**A. Mission Description and Budget Item Justification**

This Project fosters research to provide vertical lift capability and engineering expertise for the Army. The focus of the Vertical Lift Research Center of Excellence (VLRCOE) is to couple state-of-the-art research programs with broad-based graduate education programs at academic institutions with the goal of increasing the supply of scientists and engineers who can contribute to Army Transformation. Work will provide research into technologies that can improve tactical mobility, reduce the logistics footprint, and increase survivability for rotary wing vehicles.

The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.

Work in this Project is performed extramurally by the Aeroflightdynamics Directorate of the Army Aviation and Missile Research, Development, and Engineering Center (AMRDEC) (located at the National Aeronautics and Space Administration (NASA) Ames Research Center, Moffett Field, CA).

**B. Accomplishments/Planned Programs (\$ in Millions)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <b>FY 2016</b> | <b>FY 2017</b> | <b>FY 2018</b> |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|----------------|
| <b>Title:</b> Vertical Lift Research Center of Excellence (VLRCOE)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 2.911          | 3.076          | 3.130          |
| <b>Description:</b> VLRCOE agreements with Penn State University, University of Maryland, and Georgia Institute of Technology to supplement a robust experimental and analytic basic research program in rotorcraft technologies including: Aeromechanics, Structures, Flight Dynamics and Control, Rotorcraft Design and Concepts, Vibration and Noise Control, Propulsion, Affordability, Safety and Survivability, and Naval Operations.                                                                                                                                           |                |                |                |
| <b>FY 2016 Accomplishments:</b><br>Completed the final year of the VLRCOE technology interchange agreements by executing a robust experimental and analytic basic research program in rotorcraft technologies including: aeromechanics, structures, flight dynamics and control, rotorcraft design and concepts, vibration and noise control, propulsion, affordability, safety and survivability, and Naval operations. Identified research thrust areas of interest to Army Aviation for a new Center of Excellence (COE) program to support future vertical lift in the long term. |                |                |                |
| <b>FY 2017 Plans:</b><br>Will initiate a new, five year COE program that supports the Future Vertical Lift program and focuses on graduate education and a robust experimental/computational/analytical basic research program in rotorcraft technologies including: aeromechanics, structures, flight dynamics and control, rotorcraft design and concepts, vibration and noise control, propulsion, affordability, safety                                                                                                                                                           |                |                |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           | <b>Date:</b> May 2017                                                                    |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>J17 / <i>Vertical Lift Research Center Of Excellence</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                           | <b>FY 2016</b>                                                                           | <b>FY 2017</b> |
| and survivability, and Naval operations. Specific areas of interest and proposals will be selected based on evaluations by a consensus of government subject matter experts.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                           |                                                                                          |                |
| <b>FY 2018 Plans:</b><br>Execute the second year of the five year cooperative agreements with the Centers of Excellence at Georgia Institute of Technology, Pennsylvania State University, and University of Maryland. The Centers will conduct basic research in areas of long term interest for the future vertical lift program, such as hub drag reduction, aeroelastic stability, and reduced order modeling for flight dynamics. The first annual review will be conducted by a group of government organizational leaders and subject matter experts (SME's) from the Army, the Navy and NASA to evaluate the research progress and provide technical direction. The basic research at the Centers will be highly collaborative in nature with government subject-matter-experts closely tied into the research performed at the universities. |                                                                                                           |                                                                                          |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                           | 2.911                                                                                    | 3.076          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                           |                                                                                          |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                           |                                                                                          |                |
| <b>D. Acquisition Strategy</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                           |                                                                                          |                |
| <b>E. Performance Metrics</b><br>N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                           |                                                                                          |                |

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| Exhibit R-2A, RDT&E Project Justification: FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                |         |         |                 |                                                                                                |                  |         |         |                                                                          | Date: May 2017 |                     |               |
| Appropriation/Budget Activity<br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                |         |         |                 | R-1 Program Element (Number/Name)<br>PE 0601104A / University and Industry<br>Research Centers |                  |         |         | Project (Number/Name)<br>VS2 / Multi-Scale Materials Modeling<br>Centers |                |                     |               |
| COST (\$ in Millions)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Prior<br>Years | FY 2016 | FY 2017 | FY 2018<br>Base | FY 2018<br>OCO                                                                                 | FY 2018<br>Total | FY 2019 | FY 2020 | FY 2021                                                                  | FY 2022        | Cost To<br>Complete | Total<br>Cost |
| VS2: Multi-Scale Materials<br>Modeling Centers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | -              | 8.928   | 8.851   | 9.047           | -                                                                                              | 9.047            | 8.754   | 8.739   | 8.688                                                                    | 8.886          | -                   | -             |
| A. Mission Description and Budget Item Justification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                |         |         |                 |                                                                                                |                  |         |         |                                                                          |                |                     |               |
| <p>This Project supports two competitively awarded Collaborative Research Alliances (CRAs) to provide the Army with next generation multi-functional materials for ballistic and electronic applications and to address the extreme challenges associated with understanding and modeling materials subject to Army operational environments. The Materials in Extreme Dynamic Environments consortium, led by Johns Hopkins University partnered with CalTech, Rutgers University, and University of Delaware, focuses on understanding materials under high strain rates. The Multiscale Multidisciplinary Modeling of Electronic Materials consortium, led by University of Utah partnered with Boston University and Rensselaer Polytechnic Institute, focuses on microscale properties to design macroscale behavior for electronics. Research at both CRAs will address the modeling and experimental challenges associated with developing multidisciplinary physics simulations across multiple length scales for materials to include: a limited ability to relate materials chemistry, structure, and defects to materials response and failure under extreme conditions; an inadequate ability to predict the roles of materials structure, processing, and properties on performance in relevant extreme environments and designs; and the lack of experimental capabilities to quantify multiscale response and failure of materials under extreme conditions.</p> <p>Work in this Project supports key Army needs and is coordinated with work performed in Program Element (PE) 0601102A (Defense Research Sciences)/Project H44 (Adv Sensor Research) and H42 (Materials and Mechanics).</p> <p>The cited work is consistent with the Assistant Secretary of Defense for Research and Engineering Science and Technology priority focus areas and the Army Modernization Strategy.</p> <p>Work in this Project is performed by the Army Research Laboratory (ARL) in Aberdeen Proving Ground, MD.</p> |                |         |         |                 |                                                                                                |                  |         |         |                                                                          |                |                     |               |
| B. Accomplishments/Planned Programs (\$ in Millions)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                |         |         |                 |                                                                                                |                  |         |         | FY 2016                                                                  | FY 2017        | FY 2018             |               |
| Title: Collaborative Research Alliances in Materials in Extreme Dynamic Environments and Multiscale Multidisciplinary Modeling of Electronic Materials.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                |         |         |                 |                                                                                                |                  |         |         | 8.928                                                                    | 8.851          | 9.047               |               |
| Description: Research will focus on the following areas: two-way multiscale modeling for predicting performance and designing materials, investigating analytical and theoretical analyses to effectively define the interface physics across length scales; advancing experimental capabilities for verification and validation of multiscale physics; and modeling and strategies for the synthesis of high loading rate tolerant materials so that all of the latter lead to the development of a comprehensive set of metrics that define high loading rate tolerant material systems. The multiscale modeling capability will be applied across multiple disciplines to facilitate revolutionary advances in materials for coupled environments (electromagnetic, high rate, high pressure and other extreme environments).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                |         |         |                 |                                                                                                |                  |         |         |                                                                          |                |                     |               |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |                                                                                                           | <b>Date:</b> May 2017 |                                                                                     |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> |                       | <b>Project (Number/Name)</b><br>VS2 / <i>Multi-Scale Materials Modeling Centers</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |                                                                                                           | <b>FY 2016</b>        | <b>FY 2017</b>                                                                      | <b>FY 2018</b> |
| <p><b><i>FY 2016 Accomplishments:</i></b><br/> Advanced the state of the art in multi-scale modeling for electronic materials by creating a capability to tailor properties and ultimately enable an increase in efficiency, lifetimes of sources and detectors and power density in electrochemical energy storage devices; developed complex multi-scale modeling techniques which are validated and verified across critical scales in time and space for tailored electronic materials and optimized band structure; developed algorithms/theories that further advance the state of the art of electronic materials with regards to interactions of electrons, photons, phonons, defects and impurities; and advanced the state of the art in interface physics with regards to strain, polarization, piezoelectric, electromagnetic phenomena and solid/liquid boundaries to predict electronic materials' behavior focused on Army relevant devices. Developed a proof-of-concept "materials-by-design" capability in designing materials and predicting key properties for materials in extreme dynamic environments based on the fundamental properties of the atomic and molecular components; synchronized novel experimental methodologies with multiscale computational approaches to enable unprecedented microstructure control and predictive capabilities; validated the comprehensive set of material characteristics and properties at length scales that govern high rate deformation (ballistic effects), fracture and failure phenomena in metallic, polymeric, ceramic and composite material systems through both computational and experimental techniques using representative materials; and began development of the fabrication technology for optimized polymeric, metallic, ceramic and composite systems.</p> <p><b><i>FY 2017 Plans:</i></b><br/> Will continue to advance the state-of-the-art in multi-scale modeling for electronic materials by further validation of the capability to tailor electronic materials' properties; develop the validation and verification techniques for models that cross or tie-together critical scales in time and space for tailored electronic materials and optimized band structure; develop additional algorithms/theories to advance the state-of-the-art of electronic materials with regards to interactions carriers and impurities; and further advance the state of the art in interface physics with regards to strain, polarization, piezoelectric, electromagnetic phenomena and solid/liquid boundaries to map and to predict electronic materials' behavior within Army relevant devices. Continue to develop and refine a proof-of-concept "materials-by-design" capability to predict key properties for materials in extreme dynamic environments based on the fundamental properties of the atomic and molecular components; assess the learning from the novel high rate experimentation results especially when combined with multiscale computational approaches and key visualization techniques; begin confirmation of the ability to predict and control microstructure; validate that we have defined the comprehensive set of material characteristics and properties at length scales that govern high rate deformation (ballistic effects), fracture and failure phenomena in metallic, polymeric, ceramic and composite material systems through both computational and experimental techniques using representative materials; and begin development of the fabrication technology for optimized polymeric, metallic, ceramic and composite systems.</p> <p><b><i>FY 2018 Plans:</i></b></p> |  |                                                                                                           |                       |                                                                                     |                |

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| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                           | <b>Date:</b> May 2017                                                               |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>VS2 / <i>Multi-Scale Materials Modeling Centers</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                           | <b>FY 2016</b>                                                                      | <b>FY 2017</b> |
| <p>Will create data-sharing protocols and interfaces for sharing fundamental materials research data related high-strain materials. Will complete integrated multiscale models for high rate deformation and failure in all four material classes, metals, ceramics, polymers and composites. Will explore and characterize microstructure, high strain-rate behavior and failure mechanisms of the 1st iteration of the designed (controlled) materials. Will investigate grain boundary modification as related to icosahedral borides and their dynamic properties, and pioneer nanomechanical testing for microfibrils of polymer fibers that have been extracted from macrofibers. Will explore uncertainty quantification techniques created for specific materials, and examine their applicability across different materials classes and applications. Will integrate the ab initio calculations, atomistic and coarse-grained molecular dynamics (MD) simulations, and continuum level modeling into multiscale modeling framework that facilitates the design of novel: a) Si-based nanostructured anodes and b) three-dimensional (3D) interdigitated anode/cathode nanostructure for batteries. Will develop a framework and related codes to carry out simulations of materials and nanostructures from first principles and the description of electronic excitations. Will develop computationally efficient models to study non-ideal behavior of materials, specifically, the study of point and extended defects, interfaces and nano/microstructures in electronic and optoelectronic materials. Will develop multiscale modeling tools that accurately capture the coupling of redox reactions, the charge transport mechanisms, and the mesoscale morphological features in membrane structure.</p> |                                                                                                           |                                                                                     |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           | 8.928                                                                               | 8.851          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                           |                                                                                     |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                           |                                                                                     |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           |                                                                                     |                |
| <b>D. Acquisition Strategy</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                           |                                                                                     |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                           |                                                                                     |                |
| <b>E. Performance Metrics</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                           |                                                                                     |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                           |                                                                                     |                |



**UNCLASSIFIED**

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|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|----------------|
| <b>Exhibit R-2A, RDT&amp;E Project Justification:</b> FY 2018 Army                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                           | <b>Date:</b> May 2017                                                            |                |
| <b>Appropriation/Budget Activity</b><br>2040 / 1                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | <b>R-1 Program Element (Number/Name)</b><br>PE 0601104A / <i>University and Industry Research Centers</i> | <b>Project (Number/Name)</b><br>VS3 / <i>Center For Quantum Science Research</i> |                |
| <b>B. Accomplishments/Planned Programs (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                           | <b>FY 2016</b>                                                                   | <b>FY 2017</b> |
| <p>Will research and refine quantum network protocols and algorithms, as well as experimentally and theoretically investigate entanglement between two quantum nodes, entanglement verification protocols, teleportation of quantum state between two nodes, and frequency conversion to connect hybrid platforms.</p> <p><b>FY 2018 Plans:</b><br/>Will entangle two physically separate nodes, improve interfacing between nodes, and apply initial networking protocols. Will complete construction of third physical node within a quantum network.</p> |                                                                                                           |                                                                                  |                |
| <b>Accomplishments/Planned Programs Subtotals</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                           | 4.977                                                                            | 5.201          |
| <b>C. Other Program Funding Summary (\$ in Millions)</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                           |                                                                                  |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                                                  |                |
| <b>Remarks</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           |                                                                                  |                |
| <b>D. Acquisition Strategy</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                           |                                                                                  |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                                                  |                |
| <b>E. Performance Metrics</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                           |                                                                                  |                |
| N/A                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                           |                                                                                  |                |