Exhibit R-2, RDT&E Budget Item Justification: PB 2012 Army

R-1 ITEM NOMENCLATURE

**APPROPRIATION/BUDGET ACTIVITY** 2040: Research, Development, Test & Evaluation, Army

PE 0601103A: University Research Initiatives

DATE: February 2011

BA 1: Basic Research

COST (\$ in Millions)	FY 2010	FY 2011	FY 2012 Base	FY 2012 OCO	FY 2012 Total	FY 2013	FY 2014	FY 2015	FY 2016	Cost To Complete	Total Cost
Total Program Element	96.409	91.161	80.977	-	80.977	82.107	87.764	91.907	94.707	Continuing	Continuing
D55: University Research Initiative	75.344	75.911	77.639	-	77.639	78.881	84.547	88.702	91.406	Continuing	Continuing
D58: URI ACTIVITIES (CA)	13.728	-	-	-	-	-	-	-	-	Continuing	Continuing
D66: MEDICAL UNIVERSITY RESEARCH INITIATIVES (CA)	4.935	-	-	-	-	-	-	-	-	Continuing	Continuing
V72: MINERVA	2.402	15.250	3.338	-	3.338	3.226	3.217	3.205	3.301	Continuing	Continuing

#### Note

FY12 funding decrease is the transfer out of MINERVA.

### A. Mission Description and Budget Item Justification

The objective of this program element (PE) is to support Army basic research efforts in the Multidisciplinary University Research Initiative (MURI) program, the Defense University Research Instrumentation Program (DURIP) and the Presidential Early Career Awards for Scientists and Engineers (PECASE) program by funding basic research in a wide range of scientific and engineering disciplines pertinent to maintaining the U.S. land combat technology superiority. Army MURI program efforts involve teams of researchers investigating high-priority, transformational topics that intersect more than one traditional technical discipline (e.g., Intelligent Luminescence for Communication, Display, and Identification). For many complex problems, this multidisciplinary approach serves to accelerate research progress and expedite transition of results to application. The DURIP provides funds to acquire major research equipment to augment current, or devise new, research capabilities in support of Army transformational research. The PECASE program funds single-investigator research efforts performed by outstanding academic scientists and engineers early in their independent research careers.

The cited work is consistent with the Department of Defense Research and Engineering Strategic Basic Research Plan, the Army Science and Technology Master Plan, and the Army Modernization Strategy.

Work on this project is performed extramurally by the Army Research Laboratory (ARL), Research Triangle Park, NC.

Projects D58 and D66 fund Congressional interest items.

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Exhibit R-2, RDT&E Budget Item Justification: PB 2012 Army		DATE: February 2011
	R-1 ITEM NOMENCLATURE PE 0601103A: University Research Initiatives	

B. Program Change Summary (\$ in Millions)	FY 2010	FY 2011	FY 2012 Base	FY 2012 OCO	FY 2012 Total
Previous President's Budget	99.400	91.161	96.143	-	96.143
Current President's Budget	96.409	91.161	80.977	-	80.977
Total Adjustments	-2.991	-	-15.166	-	-15.166
<ul> <li>Congressional General Reductions</li> </ul>		-			
<ul> <li>Congressional Directed Reductions</li> </ul>		-			
<ul> <li>Congressional Rescissions</li> </ul>	-	-			
<ul> <li>Congressional Adds</li> </ul>		-			
<ul> <li>Congressional Directed Transfers</li> </ul>		-			
Reprogrammings	-0.238	-			
SBIR/STTR Transfer	-2.753	-			
<ul> <li>Adjustments to Budget Years</li> </ul>	-	-	-15.166	-	-15.166

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DATE: February 2011

Exhibit N-2A, No rate 1 roject dustinication: 1 b 2012 Anny								DAIL. I CO	dary 2011		
APPROPRIATION/BUDGET ACTIVITY					OMENCLAT	TURE		PROJECT			
2040: Research, Development, Test & Evaluation, Army				PE 060110	3A: Universit	y Research	Initiatives	D55: Univer	sity Researd	ch Initiative	
BA 1: Basic Research											
COST (\$ in Millions)			FY 2012	FY 2012	FY 2012					Cost To	
COST (\$ in Millions)	FY 2010	FY 2011	Base	oco	Total	FY 2013	FY 2014	FY 2015	FY 2016	Complete	Total Cost
D55: University Research Initiative	75.344	75.911	77.639	_	77.639	78.881	84.547	88.702	91.406	Continuing	Continuing

#### Note

Not applicable for this item.

### A. Mission Description and Budget Item Justification

Exhibit R-24 RDT&F Project Justification: PR 2012 Army

The objective of this project is to support the Multidisciplinary University Research Initiative (MURI), the Defense University Research Instrumentation Program (DURIP) and the Presidential Early Career Awards for Scientists and Engineers (PECASE) program. The MURI program funds university basic research in a wide range of scientific and engineering disciplines pertinent to maintaining US land combat technology superiority. Army MURI efforts involve teams of researchers investigating high-priority, transformational topics that intersect more than one traditional technical discipline (e.g. Intelligent Luminescence for Communication, Display, and Identification). For many complex problems, this multidisciplinary approach serves to accelerate research progress and expedite transition of results to application. The DURIP provides funds to acquire major research equipment to augment current, or devise new, research capabilities in support of Army transformational research. The PECASE program funds single-investigator research efforts performed by outstanding academic scientists and engineers early in their independent research careers.

The cited work is consistent with the Department of Defense Research and Engineering Strategic Basic Research Plan, the Army Modernization Strategy, and the Army Science and Technology Master Plan.

Work on this project is performed by the Army Research Laboratory (ARL) located in Research Triangle Park, NC.

B. Accomplishments/Planned Programs (\$ in Millions)	FY 2010	FY 2011	FY 2012
Title: Multidisciplinary University Research Initiative (MURI)	56.781	58.453	59.783
Description: MURI programs are typically 5 years in length at a cost of \$1.25M/yr.			
FY 2010 Accomplishments:  The program supported MURI, with 10 new awards that are critical to the Army's future operating capabilities. MURI topics were Neuronal Behavior in Primary Blast; Identifying and Extracting the Mathematical Signatures of Prokaryotic Activity in DNA; Developing a Theoretical Foundation for Predicting DNA Stability; Tomography of Social Networks of Asymmetric Adversaries; Adaptive Perception and Agile Autonomy in Severe Environments; Structured Modeling for Low-Density Languages; Directed Self-Assembly of Reconfigurable Materials; Atomtronics: A generalized electronics; Bio-Electronic Templates for Interfacing to the Nanoscale; Ion Transport In Complex Heterogeneous Organic Materials; and Defect Reduction in Superlattice Materials.			
FY 2011 Plans:			

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Exhibit R-2A, RDT&E Project Justification: PB 2012 Army		DATE: F	ebruary 2011		
APPROPRIATION/BUDGET ACTIVITY 2040: Research, Development, Test & Evaluation, Army BA 1: Basic Research  PROJECT D55: University Research Initiatives					
B. Accomplishments/Planned Programs (\$ in Millions)		FY 2010	FY 2011	FY 2012	
The program supports ongoing MURI awards made in prior years operating capabilities are planned.	s, with 8 new awards that are critical to the Army's future	•			
FY 2012 Plans: Support for MURI awards made in prior years will continue and 8 initiated. Effective transition mechanisms include collaboration as managers in MURI program reviews, and communication of the N Research, Development, and Engineering Centers including Engineering and Materiel Command, U.S. Army Research Institute,	mong principal investigators, participation by 6.2/6.3 pro MURI research results to the Army Research Laboratory ineer Research and Development Center, U.S. Army M	ogram r, the			
Title: Presidential Early Career Awards for Scientists and Engine	ers (PECASE)	2.837	4.291	4.389	
<b>Description:</b> Supports PECASE investigators started in prior year	ars.				
FY 2010 Accomplishments: Continued support for prior year awardees and selected five new	awards.				
FY 2011 Plans: Continue selecting five new awards and support prior years awar	dees.				
FY 2012 Plans: Will continue support for prior year PECASE awards and select fi	ve additional PECASE awardees.				
Title: Defense University Research Instrumentation Program (DU	JRIP)	12.574	13.167	13.467	
<b>Description:</b> Supports basic research through competitive grants	s for research instrumentation.				
FY 2010 Accomplishments:  DURIP funded competitive grants for research instrumentation.					
FY 2011 Plans: Fund competitive grants for research instrumentation to enhance to Army transformation.	universities' capabilities to conduct world class researc	h critical			
FY 2012 Plans: Will continue funding competitive grants for research instrumenta research critical to Army transformation.	tion to enhance universities' capabilities to conduct wor	ld class			
Title: The Minerva Research Initiative (MRI)		3.152	-	_	

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Exhibit R-2A, RDT&E Project Justification: PB 2012 Army	DATE: February 2011		
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENCLATURE	PROJECT	
2040: Research, Development, Test & Evaluation, Army	PE 0601103A: University Research Initiatives	D55: Unive	rsity Research Initiative
BA 1: Basic Research			

B. Accomplishments/Planned Programs (\$ in Millions)	FY 2010	FY 2011	FY 2012
<b>Description:</b> The MRI is a university-based social science research program initiated by the Secretary of Defense. It focuses on areas in the social sciences of strategic importance to U.S. national security policy. It seeks to increase the Department's intellectual capital in the social sciences and improve its ability to address future challenges and build bridges between the Department and the social science community. Minerva will bring together universities, research institutions, and individual scholars and support multidisciplinary and cross-institutional projects addressing specific topic areas determined by the Department. Proposals have been solicited that address the following topics: Chinese Military and Technology Research and Archive Programs; Studies of the Strategic Impact of Religious and Cultural Changes within the Islamic World; Iraqi Perspectives Project; Studies of Terrorist Organization and Ideologies; New Approaches to Understanding Dimensions of National Security, Conflict, and Cooperation.			
FY 2010 Accomplishments: Within the Army there were four awards initiated in FY09 which were continued in FY10. In FY11 this effort is consolidated under PE 61103, Project V72.			
Accomplishments/Planned Programs Subtotals	75.344	75.911	77.639

# C. Other Program Funding Summary (\$ in Millions)

N/A

## D. Acquisition Strategy

N/A

## E. Performance Metrics

Performance metrics used in the preparation of this justification material may be found in the FY 2010 Army Performance Budget Justification Book, dated May 2010.

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**DATE:** February 2011

APPROPRIATION/BUDGET ACTIV 2040: Research, Development, Test BA 1: Basic Research		n, Army					PROJECT D58: URI ACTIVITIES (CA)				
COST (\$ in Millions)	FY 2010	FY 2011	FY 2012 Base	FY 2012 OCO	FY 2012 Total	FY 2013	FY 2014	FY 2015	FY 2016	Cost To Complete	Total Cost
D58: URI ACTIVITIES (CA)	13.728	-	-	-	-	_	-	-	-	Continuing	Continuing

### Note

Not applicable for this item.

# A. Mission Description and Budget Item Justification

Exhibit R-2A, RDT&E Project Justification: PB 2012 Army

Congressional Interest Item funding provided for University Research Initiatives.

B. Accomplishments/Planned Programs (\$ in Millions)	FY 2010	FY 2011	FY 2012
Title: Hi-tech Eyes for the Battlefield.	1.591	-	
Description: This is a Congressional Special Interest Item			
FY 2010 Accomplishments:  Developed a novel, lightweight and adaptive image sensor architecture that derives its performance not from the size of the optical elements but from the power of the signal processing and the adaptability of its parts. The program investigated independent control of the instantaneous fields of view from an array of micro-imagers using signal processing and a two-dimensional array of micro-mirrors.			
Title: Columbia College Chicago Construct Program.	1.592	-	
Description: This is a Congressional Special Interest Item			
FY 2010 Accomplishments:  Began the multi-phase CONSTRUCT project to teach machines to analyze the interactions among humans as they collaborate to complete a task. This was done by merging video gaming with behavioral and computer science to quantify the ways in which individuals communicate, such as speech, body language, and facial movements, in order to facilitate more constructive interactions.			
Title: Open Source Intelligence for Force Protection and Intelligence.	0.796	-	
Description: This is a Congressional Special Interest Item			
FY 2010 Accomplishments:			

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Exhibit R-2A, RDT&E Project Justification: PB 2012 Army		DATE: F	ebruary 2011	
APPROPRIATION/BUDGET ACTIVITY 2040: Research, Development, Test & Evaluation, Army BA 1: Basic Research	PROJECT D58: URI ACTIVITIE	S (CA)		
B. Accomplishments/Planned Programs (\$ in Millions)		FY 2010	FY 2011	FY 2012
This effort supported the collection, data mining, and data distribut tactical advantage.	tion of open source information that can be used to ach	iieve a		
Title: Antennas for Unmanned Aerial Vehicles.		0.99	5 -	-
Description: This is a Congressional Special Interest Item				
FY 2010 Accomplishments:  Developed an affordable and miniature antenna structure to be us supporting the advanced communication needs of the military. Procurrent UAV cameras and investigated antenna structures that are in order to recognize their full potential in wartime.	oject addressed the unstable imaging problem that exis	sts with		
Title: Laboratory for Engineered Human Protection (LEHP).		1.59	-	-
<b>Description:</b> This is a Congressional Special Interest Item <b>FY 2010 Accomplishments:</b> Conducted research in innovative solutions for personnel protections.	on.			
Title: Collaboration Skills for Time Critical Teams, Squads and Wo	orkgroups.	1.59	-	-
Description: This is a Congressional Special Interest Item				
FY 2010 Accomplishments:  Developed a crisis communications research center to focus acad in major crises. The ultimate aim is to create opportunities for rese procedures and the deployment of communications strategies and crises.	earch which will lead to the development of improved tra	aining		
Title: Cooperative Developmental Energy Program.		1.59	_	-
Description: This is a Congressional Special Interest Item				
FY 2010 Accomplishments: The program provided funding for a partnership with the Museum investment strategies and best management practices for energy				
Title: Manufacturing Lab for Next Generation Engineers.		1.59	-	-

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Exhibit R-2A, RDT&E Project Justification: PB 2012 Army			DATE: February 2011
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENCLATURE	PROJECT	
2040: Research, Development, Test & Evaluation, Army	PE 0601103A: University Research Initiatives	D58: <i>URI A</i>	CTIVITIES (CA)
BA 1: Basic Research			

B. Accomplishments/Planned Programs (\$ in Millions)	FY 2010	FY 2011	FY 2012
Description: This is a Congressional Special Interest Item			
FY 2010 Accomplishments: Investigated energy investment strategies for motivating and attracting scientists and engineers to pursue careers in the energy industry.			
Title: Molecular Electronics for Flash Memory Protection.	2.388	-	-
Description: This is a Congressional Special Interest Item			
FY 2010 Accomplishments: Established an accelerated research program focusing on the development and use of ultra-high-density, three-dimensional memory chips for the fabrication of flash memory devices, to be deployed for surveillance activities and communication in the battlefield.			
Accomplishments/Planned Programs Subtotals	13.728	-	-

## C. Other Program Funding Summary (\$ in Millions)

N/A

# D. Acquisition Strategy

N/A

## **E. Performance Metrics**

Performance metrics used in the preparation of this justification material may be found in the FY 2010 Army Performance Budget Justification Book, dated May 2010.

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Exhibit R-2A, RDT&E Project Jus	tification: PB	3 2012 Army							<b>DATE</b> : Feb	ruary 2011	
				R-1 ITEM NOMENCLATURE PE 0601103A: University Research Initiatives				PROJECT D66: MEDIO INITIATIVE		RSITY RESE	EARCH
COST (\$ in Millions)	FY 2010	FY 2011	FY 2012 Base	FY 2012 OCO	FY 2012 Total	FY 2013	FY 2014	FY 2015	FY 2016	Cost To Complete	Total Cost
D66: MEDICAL UNIVERSITY RESEARCH INITIATIVES (CA)	4.935	-	-	-	-	-	-	-	-	Continuing	Continuing

## A. Mission Description and Budget Item Justification

Congressional Interest Item funding provided for Medical University Research Initiatives.

B. Accomplishments/Planned Programs (\$ in Millions)	FY 2010	FY 2011	FY 2012
Title: Burn and Shock Trauma Institute.	1.592	-	-
Description: Funding is provided for the following effort			
FY 2010 Accomplishments:			
This is a Congressional Interest Item.			
Title: International Diabetes Research Initiative	2.547	-	-
Description: This is a Congressional Interest Item.			
FY 2010 Accomplishments:			
This effort conducted research on new treatment and prevention strategies for diabetes.			
Title: Science, Technology, Engineering, Mathematics (STEM) at Coppin State University	0.796	-	-
Description: This is a Congressional Interest Item.			
FY 2010 Accomplishments:			
This effort provided opportunities for enhancement of student achievement via the Science, Technology, Engineering, mathematics (STEM) efforts at Coppin State University.			
Accomplishments/Planned Programs Subtotals	4.935	-	-

# C. Other Program Funding Summary (\$ in Millions)

N/A

# D. Acquisition Strategy

N/A

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xhibit R-2A, RDT&E Project Justification: PB 2012 Army		<b>DATE:</b> February 2011	
PPROPRIATION/BUDGET ACTIVITY	PROJECT		
040: Research, Development, Test & Evaluation, Army A 1: Basic Research	R-1 ITEM NOMENCLATURE PE 0601103A: University Research Initiatives	D66: MEDICAL UNIVERSITY RESEARCH INITIATIVES (CA)	
Performance Metrics			
Performance metrics used in the preparation of this justification	n material may be found in the FY 2010 Army Performan	ce Budget Justification Book, dated May 201	

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Exhibit R-2A, RDT&E Project Justi	ification: PB	3 2012 Army							DATE: Feb	uary 2011	
APPROPRIATION/BUDGET ACTIV 2040: Research, Development, Test BA 1: Basic Research		n, Army			IOMENCLA 3A: Universit	TURE ty Research	Initiatives	PROJECT V72: MINER	RVA		
COST (\$ in Millions)	FY 2010	FY 2011	FY 2012 Base	FY 2012 OCO	FY 2012 Total	FY 2013	FY 2014	FY 2015	FY 2016	Cost To Complete	Total Cost
V72: MINERVA	2.402	15.250	3.338	-	3.338	3.226	3.217	3.205	3.301	Continuing	Continuing

#### Note

Not applicable for this item.

### A. Mission Description and Budget Item Justification

The objective of this project is to support the Minerva Research Initiative (MRI), a university-based social science research program initiated by the Secretary of Defense in FY09. It focuses on areas in the social sciences that are of strategic importance to U.S. national security policy which have not been substantially pursued in the past. The Minerva research effort will be performed to understand the internal military-political dynamics of repressive regimes, the vulnerabilities of regimes and institutions to various kinds of influence and instability, the nature of crowd dynamics, the potential to influence public opinions and attitudes in diverse cultures, cultural effects on network security and military operations, the influence of technology on military capabilities of potential adversaries and allies, and other intersections of social-cultural issues with military activities. Predictive models and other analysis tools will be developed. Leveraging the expertise in the social sciences within the academic community is needed to provide understanding of the roots of terrorist organizations and the challenges and opportunities for military operations in a culturally diverse environment. Better understanding at a fundamental level and new computational tools will provide a beneficial impact on war fighting capabilities at the national policy, military strategy, operational, and tactical levels, and will enhance the capabilities of intelligence activities at all levels. All research results will be open source.

In FY11, this project consolidated efforts that were initiated under PE 0601103A, Project D55. Existing grants on the studies of the strategic impact of religious and cultural change in the Muslim world; Iraqi perspectives; and studies of terrorist organizations and ideologies; and new approaches to understanding dimensions of national security, conflict and cooperation from that project will be continued in FY12.

Also in FY12, new research activities related to Minerva Outreach and In-House capability will be funded though the Office of the Secretary of Defense.

The cited work is consistent with the Director, Defense Research and Engineering Strategic Basic Research Plan, the Army Modernization Strategy, and the Army Science and Technology Master Plan, as well as the requirements of the Army Culture and Foreign Language Strategy.

Work in this project will be executed extramurally by the Army Research Office and National Science Foundation.

B. Accomplishments/Planned Programs (\$ in Millions)	FY 2010	FY 2011	FY 2012
Title: Minerva Outreach and In-house Capability	2.402	12.000	0.038
<b>Description:</b> Supports Minerva basic research projects and establishment of a Chairs program at principally military educational institutions.			

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Exhibit R-2A, RDT&E Project Justification: PB 2012 Army			DATE: Fe	bruary 2011	
APPROPRIATION/BUDGET ACTIVITY 2040: Research, Development, Test & Evaluation, Army BA 1: Basic Research	R-1 ITEM NOMENCLATURE PE 0601103A: University Research Initiatives	PROJEC V72: MIN			
B. Accomplishments/Planned Programs (\$ in Millions)			FY 2010	FY 2011	FY 2012
FY 2010 Accomplishments: Solicited topics focusing on social science and cultural issues affer foreign military and technology capabilities, national and military in perspectives of US policy and strategy, terrorist organizations and conflict and cooperation.	implications of foreign religious and cultural changes, for	reign			
FY 2011 Plans: Extend research areas to new topics, such as new theories of declimate change. Develop in-house social science capabilities new planning, programming and management processes of the DoD ascientific research areas.	cessary to integrate results from the extramural program	into the			
FY 2012 Plans:					
This effort will be funded through the Office of the Secretary of De	efense.				
Title: Minerva University Consortia			-	3.250	3.300
<b>Description:</b> Focuses on studies of the strategic impact of religion	ous and cultural change in the Muslim world.				
FY 2011 Plans: Continue research initiated in PE 0601103, Project D55 to conduin China, the stability vulnerabilities of African states and institution Baathist regime from the Iraqi perspective.					
FY 2012 Plans: Will further efforts on the three (3) existing projects focusing on so capabilities: the relationship of foreign military and technology cand cultural changes; foreign perspectives of US policy and strate issues related to the national security implications of conflict and	apabilities; national and military implications of foreign re egy; terrorist organizations and ideologies; as well as ot	ligious			
	Accomplishments/Planned Programs	Subtotals	2.402	15.250	3.338
				,	

# C. Other Program Funding Summary (\$ in Millions)

N/A

# D. Acquisition Strategy

N/A

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Exhibit R-2A, RDT&E Project Justification: PB 2012 Army		DATE: February 2011
APPROPRIATION/BUDGET ACTIVITY	R-1 ITEM NOMENCLATURE	PROJECT
2040: Research, Development, Test & Evaluation, Army	PE 0601103A: University Research Initiatives	V72: MINERVA
BA 1: Basic Research	,	
E. Performance Metrics		
E. Performance metrics  Performance metrics used in the preparation of this justification	n material may be found in the EV 2010 Army Performant	ce Budget Justification Book, dated May 2010
reformance metrics used in the preparation of this justification	Thatenarmay be found in the FT 2010 Army Ferforman	be budget bustimeation book, dated may 2010.

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