

# UNCLASSIFIED

## CLASSIFICATION:

EXHIBIT R-2, RDT&E Budget Item Justification								DATE: <b>February 2004</b>			
APPROPRIATION/BUDGET ACTIVITY <b>RESEARCH DEVELOPMENT TEST &amp; EVALUATION, NAVY / BA-7</b>						R-1 ITEM NOMENCLATURE 0207161N-Tactical Air Intercept					
COST (\$ in Millions)			FY 2003	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009		
Total PE Cost			2.812	2.236	4.061	9.345	7.869	2.474	1.222		
E0457 AIM-9X			2.812	2.236	4.061	9.345	7.869	2.474	1.222		

**A. MISSION DESCRIPTION AND BUDGET ITEM JUSTIFICATION:**

AIM-9X is a long-term evolution of the AIM-9, a fielded system, qualifying this as a research category operational systems development. The AIM-9X (Sidewinder) short range air-to-air missile modification program provides a launch and leave, air combat munition that uses passive infrared (IR) energy for acquisition and tracking of enemy aircraft and complements the Advanced Medium Range Air-to-Air Missile. Air superiority in the short range air-to-air missile arena is essential and includes first shot, first kill opportunity against an enemy employing IR countermeasures. The AIM-9X employs several components common with the AIM-9M (fuse, rocket motor and warhead). Anti-Tamper features are being incorporated to protect improvements inherent in AIM-9X design.

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APPROPRIATION/BUDGET ACTIVITY <b>RDT&amp;E, N / BA-7</b>	PROGRAM ELEMENT NUMBER AND NAME 0207161N-Tactical Air Intercept				PROJECT NUMBER AND NAME E0457-AIM-9X			
COST (\$ in Millions)		FY 2003	FY 2004	FY 2005	FY 2006	FY 2007	FY 2008	FY 2009
Project Cost		<b>2.812</b>	<b>2.236</b>	<b>4.061</b>	<b>9.345</b>	<b>7.869</b>	<b>2.474</b>	<b>1.222</b>
RDT&E Articles Qty		<b>13</b>						
<b>A. MISSION DESCRIPTION AND BUDGET ITEM JUSTIFICATION:</b>  AIM-9X is a long-term evolution of the AIM-9, a fielded system, qualifying this as a research category operational systems development. The AIM-9X (Sidewinder) short range air-to-air missile modification program provides a launch and leave, air combat munition that uses passive infrared (IR) energy for acquisition and tracking of enemy aircraft and complements the Advanced Medium Range Air-to-Air Missile. Air superiority in the short range air-to-air missile arena is essential and includes first shot, first kill opportunity against an enemy employing IR countermeasures. The AIM-9X employs several components common with the AIM-9M (fuse, rocket motor and warhead). Anti-Tamper features are being incorporated to protect improvements inherent in AIM-9X design.								

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<b>(U) B. Accomplishments/Planned Program</b>																			
<table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 25%;"></th><th style="width: 25%;"></th><th style="width: 20%;">FY 03</th><th style="width: 20%;">FY 04</th><th style="width: 10%;">FY 05</th></tr></thead><tbody><tr><td>Accomplishments/Effort/Subtotal Cost</td><td></td><td style="text-align: center;">1.045</td><td></td><td></td></tr><tr><td>RDT&amp;E Articles Quantity</td><td></td><td></td><td></td><td></td></tr></tbody></table>							FY 03	FY 04	FY 05	Accomplishments/Effort/Subtotal Cost		1.045			RDT&E Articles Quantity				
		FY 03	FY 04	FY 05															
Accomplishments/Effort/Subtotal Cost		1.045																	
RDT&E Articles Quantity																			
Continued EMD efforts to include OT-IIB, including correction of issues identified during OT.																			
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		FY 03	FY 04	FY 05															
Accomplishments/Effort/Subtotal Cost		1.767	0.150	0.165															
Continue providing Government flight test support																			
<table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 25%;"></th><th style="width: 25%;"></th><th style="width: 20%;">FY 03</th><th style="width: 20%;">FY 04</th><th style="width: 10%;">FY 05</th></tr></thead><tbody><tr><td>Accomplishments/Effort/Subtotal Cost</td><td></td><td></td><td style="text-align: center;">2.086</td><td style="text-align: center;">3.896</td></tr><tr><td></td><td></td><td></td><td></td><td></td></tr></tbody></table>							FY 03	FY 04	FY 05	Accomplishments/Effort/Subtotal Cost			2.086	3.896					
		FY 03	FY 04	FY 05															
Accomplishments/Effort/Subtotal Cost			2.086	3.896															
Begin P3I efforts for AIM-9X fuse.																			

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APPROPRIATION/BUDGET ACTIVITY <b>RDT&amp;E, N / BA-7</b>	PROGRAM ELEMENT NUMBER AND NAME 0207161N-Tactical Air Intercept	PROJECT NUMBER AND NAME E0457-AIM-9X

**(U) C. PROGRAM CHANGE SUMMARY:**

	FY 2003	FY 2004	FY 2005
(U) Funding:			
Previous President's Budget:	1.909	2.322	4.110
Current BES/President's Budget	2.812	2.236	4.061
Total Adjustments	0.903	-0.086	-0.049
Summary of Adjustments			
Congressional program reductions			
Congressional undistributed reductions		-0.026	
Congressional rescissions			
SBIR/STTR Transfer			
Economic Assumptions			-0.009
Reprogrammings	0.903		
Reprioritization		-0.060	-0.040
Congressional increases			
Subtotal	0.903	-0.086	-0.049

(U) Schedule:

(U) Schedule: Due to delays in the completion of Initial Operational Test and Evaluation (IOT&E), the Acquisition Program Baseline was modified to change the IOT&E Complete Threshold to April 2004 and the Milestone III (MSIII) Threshold to September 2004. Additionally, Lot 4 was converted from the first full-rate production lot to a fourth LRIP lot. IOT&E was completed on 29 August 2003, and the program expects to achieve MSIII approval in February 2004.

(U) Technical:

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**(U) D. OTHER PROGRAM FUNDING SUMMARY:**

<u>Line Item No. &amp; Name</u>	<u>FY 2003</u>	<u>FY 2004</u>	<u>FY 2005</u>	<u>FY 2006</u>	<u>FY 2007</u>	<u>FY 2008</u>	<u>FY 2009</u>	<u>To Complete</u>	<u>Total Cost</u>
220900 AIM-9X Missile	52.230	25.626	35.177	38.309	49.209	50.023	45.870	849.095	1181.469
231500 AIM-9M Mods	0.583	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.583
AIM-9X Spares	1.085	1.413	1.867	3.186	4.889	4.579	3.338	0.000	
AIM-9X Mods/Missile (Air Force)	54.148	53.174	52.595	55.171	43.767	54.529	55.918	714.461	
(U) RELATED AIR FORCE RDT&E:									
Program Element: 0207161F	2.785	0.372	5.558	15.004	5.543	5.631	5.719		

**(U) E. ACQUISITION STRATEGY:**

After a full and open competition, a Cost Plus Incentive Fee/Award Fee contract was awarded to Hughes Missile System Company (now Raytheon Systems Corporation) (RSC) to complete missile system development and prepare for production. This Engineering and Manufacturing Development (EMD) contract includes three Fixed Price options for Low Rate Initial Production (LRIP) Lots I, II and III. The FY01 LRIP I option was exercised in Nov 2000, LRIP II option was exercised in Nov 2001 and LRIP III option was exercised in Nov 2002. The EMD contract and production options provide strong incentives for the contractor to control costs, achieve reliability performance and deliver on schedule.

The Assistant Secretary of the Navy (Research, Development and Acquisition) (ASN(RD&A)) approved the program to procure Lot 4 missiles in August 2003. A Firm-Fixed-Price (FFP) contract award is expected in January 2004. ASN(RD&A) will also make the Full-Rate Production (FRP) decision with advice from the Air Force Acquisition Executive subsequent to the successful completion of the associated exit criteria. FRP Lots 5 through 7 contracts will be FFP. Rewards or penalties are provided depending on RSC's performance relative to the Procurement Price Commitment Curve (PPCC). A review of RSC's Lots 4-7 proposals relative to the PPCC will be held prior to the award of these contracts.

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**Exhibit R-3, Project Cost Analysis**  
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Exhibit R-3 Cost Analysis (page 2)										DATE: <b>February 2004</b>			
APPROPRIATION/BUDGET ACTIVITY <b>RDTE, N / BA-7</b>			PROGRAM ELEMENT 0207161N-Tactical Air Intercept				PROJECT NUMBER AND NAME E0457-AIM-9X						
Cost Categories	Contract Method & Type	Performing Activity & Location	Total PY s Cost	FY 03 Cost	FY 03 Award Date	FY 04 Cost	FY 04 Award Date	FY 05 Cost	FY 05 Award Date	Cost to Complete	Total Cost	Target Value of Contract	
Developmental Test & Evaluation	WX	NAWCWD	25.643	0.335	08/03	0.335	11/03	0.461	11/04	2.731	29.505		
Developmental Test & Evaluation	WX	NAWCAD	4.683							1.306	5.989		
Operational Testing	WX	OPTEVFOR		2.231	07/03			0.100	11/04		2.331		
Subtotal T&E			30.326	2.566		0.335		0.561		4.037	37.825		
Remarks:													
Contractor Engineering Support	ID/IQ,T&M	Endmark, Arlington, Va	3.670								3.670		
Government Engineering Support	ID/IQ,T&M	MSTTm Arlington, Va	0.986								0.986		
Program Management Support	ID/IQ,T&M	NSM, Arlington, Va	1.440								1.440		
Travel	WX	PMA259 IPT	1.263	0.060	10/02	0.020	10/03	0.030	10/04		1.373		
Contractor Engineering Support	ID/IQ,T&M	Various	1.020	0.186	Various	0.200	Various	0.200	Various	0.876	2.482		
Subtotal Management			8.379	0.246		0.220		0.230		0.876	9.951		
Remarks:													
Total Cost			264.319	2.812		2.236		4.061		21.810	295.238		
Remarks:													

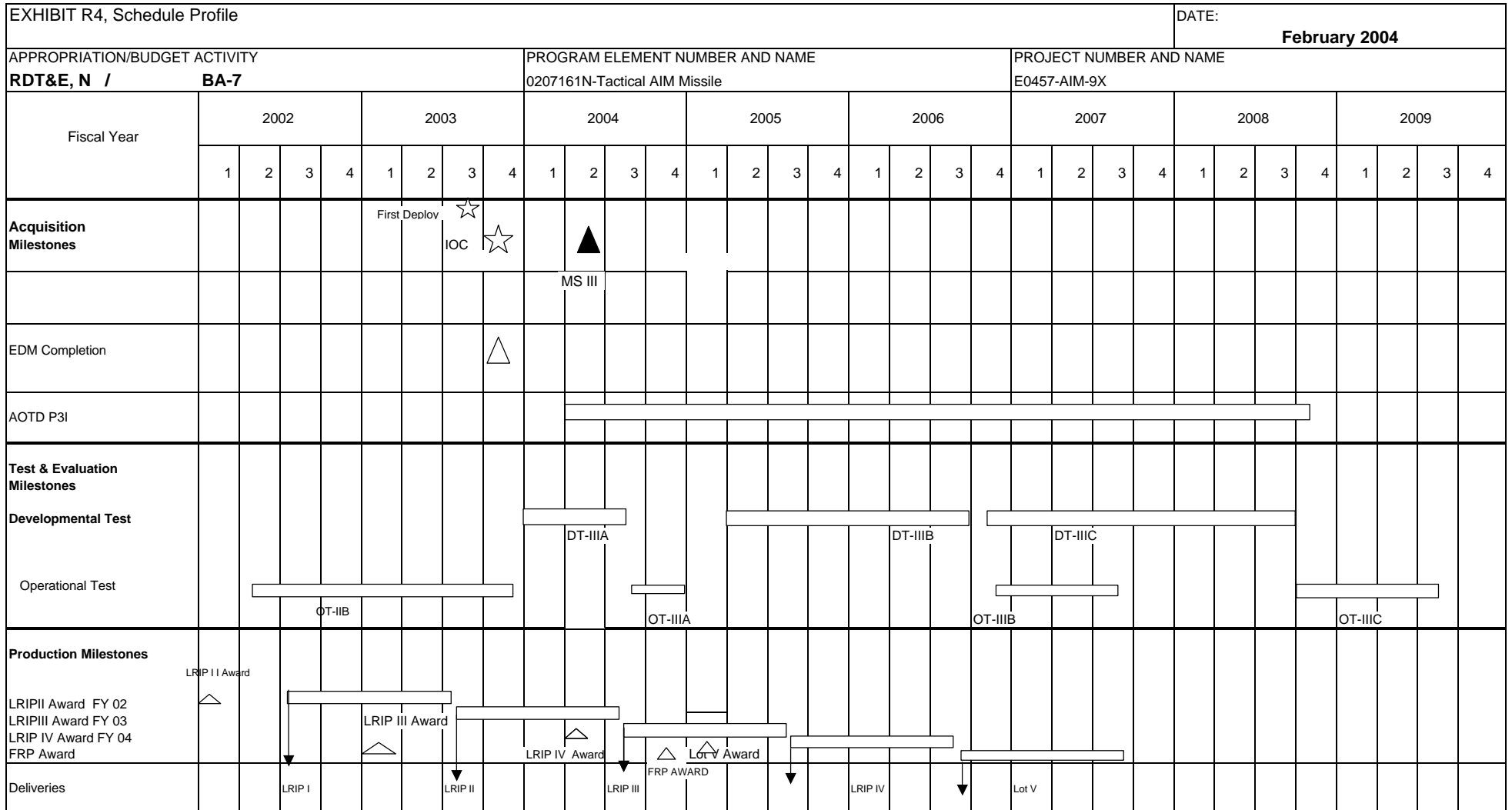
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**Exhibit R-3, Project Cost Analysis**  
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\* Revised APB is currently in signature cycle that will convert FRP to LRIP IV

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Exhibit R-4, Schedule Profile  
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**Exhibit R-4a, Schedule Detail**  
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