## UNCLASSIFIED

| RDT&E BUDGET ITEM JUSTIFICATION SHEET (R-2 Exhibit)        |       |       |      |  |      | DATE<br>JUNE 2001 |      |      |                     |               |  |
|--|-------|-------|------|--|------|-------------------|------|------|---------------------|---------------|--|
| APPROPRIATION / BUDGET ACTIVITY<br>RDT&E, DEFENSE-WIDE / 7 |       |       |      | R-1 ITEM NOMENCLATURE PE1160279BB Small Business Innovative Research |      |                   |      |      |                     |               |  |
| COST (Dollars in Millions)                                 | FY00  | FY01  | FY02 | FY03   | FY04 | FY05              | FY06 | FY07 | Cost to<br>Complete | Total<br>Cost |  |
| PE1160279BB  | 4.896 | 5.160 |      |  |      |                   |      |      | Cont.               | Cont.         |  |
| S050 SMALL BUSINESS INNOVATIVE RESEARCH                    | 4.896 | 5.160 |      |  |      |                   |      |      | Cont.               | Cont.         |  |

## A. Mission Description and Budget Item Justification

The Small Business Innovative Research (SBIR) program element consists of a highly competitive three- phase award system which provides qualified small business concerns with the opportunity to propose high quality innovative ideas that meet specific research and development needs of USSOCOM. SBIR is a result of the Small Business Development Act of 1992. It was enacted by Congress in Public Law 97-219, reenacted by Public Law 99-443, and reauthorized by the SBIR Program Reauthorization Act of 2001. Starting in FY 1994, the SBIR program was refocused toward dual use and defense reinvestment efforts. Phase I projects evaluate the scientific technical merit and feasibility of an idea. Awards are up to \$.100M with a maximum six-month period of performance. Phase II projects expand the results of, and further pursue, the developments of Phase I. Awards are up to \$.750M with a maximum two-year period of performance. Phase III is for commercialization of the results of Phase II and requires the use of private or non-SBIR federal funding. DoD publishes government agency proposal projects twice per year for a consolidated DoD Request for Proposal. USSOCOM then awards its proposed SBIR projects.

Change Summary Explanation:

Funding: None.

Schedule: None.

Technical: None.

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|--|---------|-----------|---|--|--|--|
| APPROPRIATION / BUDGET ACTIVITY<br>RDT&E, DEFENSE-WIDE / 7 | R-1     | ITEM NOM  | E<br>Small Business Innovative Research |  |  |  |
| B. Program Change Summary                                  | FY 2000 | FY 2001   | FY 2002                                 |  |  |  |
| Previous President's Budget                                | 4.896   |           |   |  |  |  |
| Appropriated Value   |         |           |   |  |  |  |
| Adjustments to Appropriated Value / President's Budget     |         | 5.160     |   |  |  |  |
| Current Budget Submit                                      | 4.896   | 5.160     |   |  |  |  |
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